



Operation Name: \_\_\_\_\_ Date: \_\_\_\_\_

► Complete this form if you are a licensed OCaL distributor who does not process, roll, repack, or relabel.

**A. Activities**

1) Select all that describe your activities:

- ☐ Broker ☐ Trader ☐ Wholesaler ☐ Distributor
- ☐ I take title to products ☐ I take physical possession of products ☐ I drop ship products to customers directly from suppliers
- ☐ I arrange sales between buyers and sellers without taking title or possession
- ☐ Exclusive sales agent for (operation name): \_\_\_\_\_
- ☐ Other (describe): \_\_\_\_\_

**B. Monitoring Suppliers & Fraud Prevention**

- You must maintain current OCaL certificates for all suppliers, certified private label brand owners, and any other certified operation you work with.
  - You must notify CCOF of new suppliers quarterly at a minimum. If your certificate management system is insufficient, more frequent updates will be required.
- 1) Describe your OCaL supplier certificate management system. You must ensure that all certificates are current (issued within the last 12 months) and complete, and listing the specific products you source.
- a) Who at your company is responsible for approving new OCaL suppliers?  
*Prior to purchasing, you must review the OCaL certificate to ensure it is current and complete. New suppliers must be added to your H2.6 form and approved by CCOF.*
- b) How often do you switch suppliers, add new suppliers, make one-off purchases or do "spot purchasing" to prevent shortages?  
*Frequent changes may result in increased audit trail verification at inspection.*
- c) How frequently do you review certificates for existing suppliers to ensure they are complete and current?  
*Must review annually for active suppliers, at a minimum.*
- 2) Do you purchase OCaL products from uncertified brokers, traders, wholesalers, or distributors?  
*Sourcing through uncertified handlers requires additional audit trail verification at inspection and will incur additional fees.*
- ☐ No
- ☐ Yes. Attach an [OCaL Uncertified Handler Affidavit \(OCaL UHA\)](#) for each uncertified supplier of OCaL cannabis or attach an [Exempt Handler Affidavit](#) for each uncertified supplier of Organic non-cannabis ingredients, as applicable.
- a) If yes, how will you ensure that only certified suppliers are used by the uncertified handler? Check all that apply.  
*Your OSP must list all certified suppliers, including products sourced through uncertified handlers. Audit trail records must link directly back to the last certified operation.*
- ☐ I do not place an order until certified supplier is identified by uncertified handler and I have determined the OCaL certificate is legitimate and complete.
- ☐ For any delivery that cannot be traced back to the certified supplier, I refuse or hold shipment until the certified supplier is verified.
- ☐ Other (describe): \_\_\_\_\_

**C. Harvest and Transportation**

- 1) Do you harvest OCaL crops and/or contract out harvest of OCaL crops?  
*Records and OCaL certificates must show that harvested parcels are certified and harvest equipment is cleaned or purged.*
- ☐ No ☐ Yes. Complete sections A & B on [OCaL C6.1 Harvest & Transport](#)





- 2) Are any products shipped in **unsealed** or in **permeable packaging** (ex: clamshells, open boxes, trucks) or in **reusable containers or vehicles** (ex: RPCs, tankers, railcars)?

*All transload facilities where unpackaged product is transferred into another container must be certified OCal. Additionally, transporters that combine or split unpackaged loads must be certified organic.*

☐ Yes ☐ No

- a) If yes, how do you ensure contamination and commingling are prevented during transport (ex: protection from sanitizer residue, gases, liquids)? Check all that apply. *Transporter records must be available for review at inspection.*

☐ Clean truck affidavit ☐ Cleaning and sanitizing material records ☐ Certified supplier provides documentation

☐ Truck cleaning procedures ☐ Wash tags ☐ Tanker Seals ☐ Marine Surveyor report for vessel cargo hold

☐ Other (describe): \_\_\_\_\_

#### D. Storage Facilities

- 1) If off-site facilities are used to store OCal products while **unsealed** or in **permeable packaging**, complete this table, or provide an attachment with this information.

☐ Not applicable ☐ Attached

Storage Facility Name & Location	Ingredients/Products Stored	Documentation
		<input type="checkbox"/> OC* <input type="checkbox"/> UHA**
		<input type="checkbox"/> OC* <input type="checkbox"/> UHA**
		<input type="checkbox"/> OC* <input type="checkbox"/> UHA**

\*Attach the OCal Certificate (OC) for each certified storage facility listed above. *You must request updated certificates annually.*

\*\*For any non-certified facilities listed above, attach a CCOF [OCal Uncertified Handler Affidavit \(OCal UHA\)](#). An OCal UHA must be completed by the uncertified storage facility manager.

