

CCOF REGENERATIVE ORGANIC CERTIFIED® PROGRAM CONTRACT

- The Regenerative Organic Certified® (ROCTM) program encompasses three pillars: Soil Health, Animal Welfare, and Farmer and Worker Fairness, and includes three levels: Bronze, Silver and Gold. Details about the ROC™ pillars and levels can be found in the governing documents located on the ROCTM website Resources page.
- CCOF offers the ROC™ program to certified organic farms, ranches and farm groups located in the United States.
- The Oversight and Scheme Management Body for the ROC[™] program, the Regenerative Organic Alliance (ROA), considers processors and handlers to be Supply Chain Actors (SCAs). SCAs do not obtain certification with CCOF but rather <u>license directly</u> with the ROA. Supply Chain Guidelines can be found on the ROC™ website Resources page.
- ROA and CCOF work together to certify farms, ranches, and farm groups to the ROCTM Framework (standards), ROCTM Guidance, and other applicable ROCTM governing documents, all of which are overseen and maintained by the ROA. These documents can be found on the ROA's website Resources page.

		F9				
•	Please keep a copy of all docur program:	nents submitted to CCOF for your records. C	complete and send the following to apply for this			
	CCOF ROC™ Program Co	ntract (this 6-page form)				
	\$350 nonrefundable fee du	e with the application				
	☐ My credit card infor	mation is on page 6 🔲 I have included and	other form of payment			
	☐ Bill me (for existing	☐ Bill me (for existing CCOF clients only)				
		act, CCOF will bill your operation a \$500 annu fairness, totaling up to \$1500).	ual fee per each ROC™ pillar (i.e., \$500 for soil health,			
	 For additional fees, refer to 	the CCOF Certification Service Program Ma	nual.			
	Email to: inbox@ccof.org Or	Mail to: CCOF, 877 Cedar Street, Suite 248	3, Santa Cruz, CA 95060			
>	If you are certified organic with	CCOF please provide your CCOF client code	e:			
Α.	Operation Information					
	Public information about certifier released by CCOF CS. For a co	nd operations is made available at <u>www.ccof.c</u> complete list of the information provided, pleas F Certification Services Program Manual.	org/resources/member-directory/ and print directories se read the "Confidentiality and Public Information, & Data			
1)	Registered Legal Business Name:					
	Legal "Doing Business As" (DBA), if applicable):					
	Phone: Website (optional):					
2)	Registered Legal Business Add	ress:				
	Address:		City:			
	State/Province:	Zip/Postal Code:	Country:			
3)	Explain whether the DBA listed above appears in your audit trail records and under what circumstances, e.g., DBA is only used for certain products or markets, or for all products and markets. DBA names can only be included on your organic certificate if you are operating the same certified legal business entity under a different name. Describe whether the DBA is registered at the state or local level.					
	☐ Description attached					
4)	Legal Information:	_				
	Federal Tax ID#:					
	Sole Proprietorship. Owner's	s Name:				
	☐ Partnership. Owner's Name					
	☐ Corporation -OR- ☐ LLC.	State of incorporation:				
	Name of owners, or officers	and their titles:				













CCOF REGENERATIVE ORGANIC CERTIFIED® PROGRAM CONTRACT

Physical Location of Your Operation.					
Where OCal production or handling occurs, or where records are kept (for importer/broker/trader/private label owners) Your physical location will be inspected and will be listed on your OCal certificate. If you do not occupy, lease or own this location, you are responsible for ensuring that CCOF, CDFA, or CDPH can access the location during an unannounced inspection.:					
☐ Identical to registered legal busine		,			
Address:		City:			
State/Province:	Zip/Postal Code:	Country:			
Mailing Address if different:					
State/Province:	Zip/Postal Code:	Country:			
Billing Address if different:					
State/Province:	Zip/Postal Code:	Country:			
Contact Information Primary ROC™ Contact					
Please designate one person as primary contact. This person will be listed in the CCOF online directory. This person should be knowledgeable of your operation, your Regenerative Organic System Plan (ROSP), your operation's activities, applicable ROC TM standards, and have the authority to act on behalf of the company. All communication will be sent to this contact.					
Name:		Title:			
Phone:	Email:				
Preferred method of written commun	ication: Email Postal Mail				
Additional ROC™ Contacts	— — — — — — — — — — — — — — — — — — —				
Please list all people at your operation authorized to conduct inspections, meet with inspectors, modify the ROSP, or otherwise act o behalf of the operation. Check the CC box for contacts that should receive all ROC TM communication along with the primary contact listed above. Attach an additional list if necessary.					
			CC:		
Name/Title	Phone number	Email			
			CC:		
Name/Title	Phone number	Email			
Certification Program Inform	nation				
Is your operation certified organic wit	Is your operation certified organic with CCOF?				
☐ Yes. Skip to section B2 ☐ No. Complete this section.					
a) Provide the name of your organic certification body:					
b) Attach a copy of your current OSP Materials List: OSP Materials List Attached					
c) Attach a letter of good standing from your organic certifier that includes the following information:					
i. Verification that your operation is certified organic, noting the date of your last issued NOP certificate.					
ii. A list of open Noncompliance issues (or equivalent compliance report).					
iii. A list of all certified organic parcels and their corresponding acreage (or equivalent certificate/addendum).					
☐ Certifier Letter Attached Is your operation transferring from another ROC™ certification body?					
□ No. Skip to section C					

ROCA01, V1, R6, 10/14/2025









CCOF REGENERATIVE ORGANIC CERTIFIED® PROGRAM CONTRACT

a)	Provide the name of the certification body:
b)	Attach a letter of current certification and auditing status from your prior CB confirming that all non-compliance and contract conditions have been addressed:
	Letter Attached
c)	Confirm that you notified your prior CB and the ROA in writing about your intention to transfer your ROC™ certification to CCOF:
	☐ I have notified my prior CB and the ROA in writing about this change.
d)	











831-423-4528



CCOF REGENERATIVE ORGANIC CERTIFIED® PROGRAM CONTRACT

D. Farm Worker Information

Farm worker interviews are an essential component of the ROCTM social pillar audit. Workers must be on site at the time of audit for interviews to occur. A CCOF-provided translator will accompany the CCOF auditor when needed.

1)	Per a)	manent workers: Specify the time of year when permanent workers will be on-site:
۵,	b) -	If any permanent workers do not speak English, what is their primary language?
2)	Ter	mporary workers:
	a)	Specify the time of year when temporary workers will be on-site:
	b)	If any temporary workers do not speak English, what is their primary language?
E.	So	cial Compliance Risk
yοι	ır ope	A requires that CCOF assess the social compliance risk of each operation. Please indicate whether any of the following applies to eration. Your operation will be considered high risk for the Farmer and Worker Fairness pillar if you answer yes to any of the ns below.
1)	agr	es your operation hire workers using more than two hiring approaches (e.g. direct-hire, contract labor, labor recruiter, seasonal iculture worker programs, etc.)? Yes No
2)		es your operation hire young workers under the age of 18 years (including migrant workers and those residing on the farm)? Yes
3)		e there more than ten workers on-site for more than a ten days within a calendar year (consecutive or not)? Yes
F.	Ce	rtification Timeline
me lau insp	et yo nch d pection nbini	issue a certification recommendation to the ROA. This timeline can be longer if your ROSP is incomplete. We will do our best to our desired timelines; however, we generally recommend that you begin the certification process at least 120 days prior to the of your ROC TM line or publicly announcing your certification. We work to combine ROC TM audits with other CCOF program ons whenever possible. Although this is the norm for annual audits, it may not be feasible for your first ROC TM audit with CCOF. If the initial audit is feasible, please note that this may prolong the initial certification timeline for the ROC TM program. I prefer combining the initial ROC TM audit with another CCOF Program inspection. I prefer the ROC TM audit occurs as soon as possible.
	a)	Estimated date by which you need certification: Month/Day/Year:
F.	Cer	tification Contract and Agreement
>	The for	e following must be signed by a legally authorized representative of the operation assigned by ROA to CCOF CS (CCOF) certification.
		signing this document, the operation acknowledges that it has received, has read, fully understands, and agrees to be und by the CCOF Certification Services (CS) Program Manual and agrees to:
1)	Thi	s operation will receive services from both ROA and CCOF, and agrees to work with each entity as follows:
	a)	Work with the ROA during the initial application review.
	b)	Pay all ROC [™] program fees to the ROA outlined in the Cost and Fee Structure available on the ROA's website Resources page.
	c)	Work with the ROA on any certification related questions or issues prior to assignment to CCOF.
	d)	Work with the ROA during their review of CCOF's certification decision.
	e)	Receive your ROC TM Certificate from ROA.
	f)	Work with CCOF on any certification related questions or issues after being assigned to CCOF as a certification body.
	g)	Pay the annual program fee per pillar outlined in the CCOF CS Certification Program Manual to CCOF. Work with CCOF during the pre-audit review.
	h) i)	Undergo an annual audit with CCOF, as well as additional audits deemed necessary throughout the certification cycle.
	j)	Work with CCOF during the post-audit review.
0		ation Name: Date:
	-	

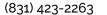
ROCA01, V1, R6, 10/14/2025





www.ccof.org







Page 4 of 6



CCOF REGENERATIVE ORGANIC CERTIFIED® PROGRAM CONTRACT

- Work with CCOF throughout the issuance and resolution of any certification conditions.
- Receive a certification recommendation from CCOF.
- Comply with all governing documents on the ROA Resources Page.
- Allow CCOF to share with ROA the information collected during provision for certification services rendered and as required for annual reporting.
- 4) Allow ROA observers on-site during audits.
- Immediately disclose any changes that would affect certification, including location, process, or management changes.
- If transferring to another certification body, to disclose previous certification body enrollment and provide a copy of the latest audit report or audit findings and corrective actions.
- Respond to CCOF within all timelines outlined in the governing documents available on the ROA's Resources page.
- Comply with and strictly adhere to all CCOF standards, procedures and policies described in the CCOF CS Program Manual, including but not limited to the following:
 - Establishing, implementing, and updating annually a Regenerative Organic System Plan that will be submitted to CCOF.
 - Permitting on-site inspections with complete access to the production or handling aspects of the operation, including non-certified production areas, structures, or offices by CCOF. These inspections may be announced or unannounced at the discretion of CCOF or as required by an accreditation authority, government entity with jurisdiction, or other governing body.
 - Maintaining all records applicable to the ROC™ operation for not less than five (5) years beyond their creation. Records of the quantity of the raw materials received, used for processing, and processing final ROCTM product are maintained for the last 3 years.
 - Allowing authorized representatives of CCOF, an accreditation authority, government entity with jurisdiction, or other governing body access to these records under normal business hours for review and copying to determine compliance with the applicable standards, regulations or governing law.
 - Understanding CCOF may use subcontractors for inspecting, testing and other technical services, as necessary.
 - Submitting to CCOF any applicable fees as described on the most current fee schedule.
 - Immediately notifying CCOF concerning any application, including drift, of a prohibited substance to any field, production unit, site, facility, livestock, or product that is part of an operation.
 - Immediately notifying CCOF of any change in your certified operation or portion of it that may affect its compliance with the applicable standards, regulations or governing law.
 - Using the CCOF name and seal(s) only in accordance with CCOF standards and ceasing all use of CCOF's name and seal upon notice by CCOF. Any use of CCOF's names or marks, without the express consent of CCOF, is strictly prohibited and constitutes an infringement of CCOF's rights. CCOF shall be entitled to its reasonable attorney's fees and costs incurred in bringing any civil action, arbitration, or mediation to enforce its rights to its names or marks.
 - Destroying or returning to CCOF all packaging and certificate(s) upon notice from CCOF. j)
 - Understanding that the use of the CCOF name and seal must be in accordance with the CCOF standards.
 - Authorizing CCOF to list certified parcel crops, products, services, and acreage on my certificate and in the CCOF Directory.
 - Immediately ceasing all claims of CCOF certification associated with this operation, and destroying or returning all certificates, labeling, and marketing material containing reference to CCOF in the event that this operation withdraws, or its certification is suspended or revoked.
 - Agreeing to be legally bound by the terms of the paragraphs entitled "Consent to Electronic Transmission", "Governing Law", "Consent to Jurisdiction", "Indemnification" and "Limit of Liability" as described in the CCOF Certification Program Manual.
 - Agreeing to be legally bound by the "Standards of Behavior" detailed in the CCOF Certification Program Manual.

I, the owner, or legally authorized corporate representative , acknowledge the above General Requirements for CCOF ROC™ and
understand that any willful misrepresentation may be cause for withdrawal of certification. I authorize the person(s) listed above to act on
behalf of my company in establishing or maintaining ROC TM . I attest that all information in this application is true and accurate to the besi
of my knowledge:

Name/Title	Signature	Date

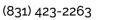


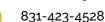
ROCA01, V1, R6, 10/14/2025











Page 5 of 6



CCOF REGENERATIVE ORGANIC CERTIFIED® PROGRAM CONTRACT

Or	peration Name:			Date:
A.	Credit Card Payment Information			
Т	ype of Credit Card: 🗌 Visa 🔲 Master Card 🔲 Amex			Amount: \$
С	Credit Card Billing Address:			
С	Dity:	State:		Zip code:
Ν	lame on Card:	Email:		Phone Number:
С	Credit Card Number:			
Е	expiration Date (mm/yy):		Security Number (The For Amex, this is the for	three-digit code on the back of your card. our digits on the front):
С	CCOF applies a 3% surcharge to each credit card transact	tion. No ad	ditional surcharge is app	lied to debit card transactions.
S	Signature:			
B.	Public Profile Information (optional)			
1)	Use these options to describe your operation. This inforpromote your unique operation. Do not include my operation in the online directory. Online Presence:	rmation will		r online directory profile and to help CCOF
	Facebook:		Linkedin:	
	☐ Instagram:		Pinterest:	
	X (formerly Twitter):		Youtube:	
2)	Sales Methods: Copacking Services (CS):			
	☐ Ingredients (Ing):			
	☐ Internet (WWW):			
	Retail (R):			
	☐ Wholesale (WS):			
3)	Apprenticeship Options:			
4)	Terms: Board Internships Wage Company Statement (Promotional/sales/informational of	Other: or public sta	atement about your comp	pany):
C.	Additional Service Opportunities (optional	l)		
	Check any additional services you may be interested in USDA National Organic Program (NOP) Food Safety Services for farms Food Safety Services for processing Food Safety training Other:	-	OF representative or part	tner organization will contact you.

ROCA01, V1, R6, 10/14/2025





