CCOF OCal Certification Contract

- ► Please keep a copy of all documents submitted to CCOF for your records.
- ► See **www.ccof.org/faq** or contact us with questions. Find all forms at **www.ccof.org/resources/resource-library**.

- ► **Complete and send the following to apply for certification:**
  - CCOF OCal Certification Contract (this 6-page form)
  - OCal System Plan (OSP) forms and attachments
    - Carefully review the OCal System Plan (OSP) Guides applicable to your operation, and complete all forms indicated.
      - **Guide to OCal Cultivator OSP Forms**
      - **Guide to OCal Handler OSP Forms**
  - $350 nonrefundable fee due with application

    ☐ My credit or debit card information is on page 6 ☐ I have included another form of payment

    ☐ I have a discount code: _____

Email to: inbox@ccof.org Or Mail to: CCOF, 877 Cedar Street, Suite 248, Santa Cruz, CA 95060

- ► How did you hear about CCOF? _____
- ► If you are certified organic with CCOF please provide your CCOF client code: _____

A. Operation Information

Public information about certified operations is made available at www.ccof.org/resources/member-directory/ and print directories released by CCOF CS. For a complete list of the information provided, please read the "Confidentiality and Public Information, & Data Reporting" chapter in the CCOF Certification Services Program Manual.

1) Registered Legal Business Name: _____

Legal "Doing Business As" (DBA), if applicable): _____

Phone: _____ Website (optional): _____

2) Registered Legal Business Address:

Address: _____ City: _____

State/Province: _____ Zip/Postal Code: _____ Country: _____

3) Explain whether the DBA listed above appears in your audit trail records and under what circumstances, e.g., DBA is only used for certain products or markets, or for all products and markets. *DBA names can only be included on your organic certificate if you are operating the same certified legal business entity under a different name. Describe whether the DBA is registered at the state or local level.*

☐ Description attached

_____

4) Legal Information:

Federal Tax ID#: _____

☐ Sole Proprietorship. Owner's Name: _____

☐ Partnership. Owner's Names: _____

☐ Corporation -OR- ☐ LLC. State of incorporation: _____

Name of owners, or officers and their titles: _____

5) Physical Location of Your Operation *(required if different from your registered legal business address)*

Where OCal production or handling occurs, or where records are kept (for importer/broker/trader/private label owners) Your physical location will be inspected and will be listed on your OCal certificate. If you do not occupy, lease or own this location, you are responsible for ensuring that CCOF, CDFA, or CDPH can access the location during an unannounced inspection.

☐ Identical to registered legal business address above.

Address: _____ City: _____

State/Province: _____ Zip/Postal Code: _____ Country: _____

CCOF OCal Certification Contract

6) Mailing Address *(required if different from your registered legal business address or physical address)*:

☐ Identical to registered legal business address above.
☐ Identical to physical location above

State/Province: _____ Zip/Postal Code: _____ Country: _____

7) Billing Address *(optional)*:

State/Province: _____ Zip/Postal Code: _____ Country: _____

8) Preferred method of written communication: ☐ Email ☐ Postal Mail

B. Operation Summary

1) Help us understand your OCal operation. Describe or attach a summary description of your OCal business or plans.
Your full details will be on the complete OCal System Plan you submit.

☐ Description attached

C. Contact Information

1) **Primary Contact**

Please designate one person as primary contact. This person will be listed in the CCOF online directory. This person should be knowledgeable of your operation, your OCal System Plan, your operation's activities, applicable OCal standards and have the authority to act on behalf of the operation. **All communication will be sent to this contact.**

Name: _____ Title: _____

Phone: _____ Email: _____

2) **Additional Contacts**

Please list all people at your operation authorized to conduct inspections, meet with inspectors, modify the OSP, or otherwise act on behalf of the operation. Check the CC box for contacts that should receive all communication along with the Primary contact listed above. Attach an additional list if necessary.

CC: ☐

Name/Title | Phone number | Email

CC: ☐

Name/Title | Phone number | Email

CC: ☐

Name/Title | Phone number | Email

D. Certification Program Information

1) What types of products does this operation grow, produce, process, manufacture, distribute, or sell? Check one:

☐ Both OCal and non-OCal product(s) ☐ OCal product(s) only

2) By what date do you anticipate the need for certification? *Month/Day/Year:* _____

*The certification process could take 12 weeks or longer. If you need a shorter timeline you can enroll in the **Expedited Certification Service**.*

3) Is your operation currently certified by a third-party cannabis certification company (i.e. Sun and Earth, Certified Kind, Envirocann, etc.)?

☐ No ☐ Yes, provide name of certifier and attach a copy of your certificate: _____

4) Is your operation currently certified organic?

☐ No ☐ Yes, provide name of certifier and attach a copy of your certificate: _____

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5) Is your operation currently certified OCal?

☐ No ☐ Yes, provide name of certifier and attach a copy of your certificate: _____

6) Has this operation ever applied for, or been granted, OCal certification?

☐ No. Skip to section E. ☐ Yes. Complete this section and provide name of certifier: _____

a) Was the operation's certification or the certification of fields or products ever suspended or revoked? ☐ Yes ☐ No

b) Did the operation surrender certification with outstanding non-compliances or conditions? ☐ Yes ☐ No

c) Was the operation's application for OCal certification ever issued a denial? ☐ Yes ☐ No

d) Did the operation withdraw its application for certification with outstanding non-compliances? ☐ Yes ☐ No

7) If you answered yes to a, b, c, or d above, please list the years and certification agencies, attach a copy of all relevant letter(s) and a description of all corrective actions:

Year(s): _____ ☐ Letters Attached

Corrective actions taken: _____

E. California Cannabis Licensing and CDPH Registration

OCal applicants must hold an active and valid commercial cannabis license with the California Department of Cannabis Control (DCC). For more information, visit the DCC website at https://cannabis.ca.gov/. Please provide the details of your commercial cannabis license in this section.

1) **Licensee Contact**

Name: _____ Title: _____

Phone: _____ Email: _____

Address: _____ City: _____

State/Province: _____ Zip/Postal Code: _____ Country: _____

2) **Licensee Business Contact *if different***

Name: _____ Title: _____

Phone: _____ Email: _____

Address: _____ City: _____

State/Province: _____ Zip/Postal Code: _____ Country: _____

3) **License Types and Numbers**

Check the box for each cannabis license you hold and list each license number.

a) **Cultivation**

☐ Specialty Indoor: _____

☐ Specialty Mixed-Light Tier 1: _____

☐ Specialty Mixed-Light Tier 2: _____

☐ Specialty Outdoor: _____

☐ Small Indoor: _____

☐ Small Mixed-Light Tier 1: _____

☐ Small Mixed-Light Tier 2: _____

☐ Small Outdoor: _____

☐ Medium Indoor: _____

☐ Medium Mixed-Light Tier 1: _____

☐ Medium Mixed-Light Tier 2: _____

☐ Medium Outdoor: _____

☐ Large Indoor: _____

☐ Large Mixed-Light Tier 1: _____

☐ Large Mixed-Light Tier 2: _____

☐ Large Outdoor: _____

☐ Nursery: _____

☐ Processor: _____

b) **Manufacturer**

Manufacturers are required to register with CDPH after achieving OCal certification with CCOF; your inspector will verify that you have begun the CDPH application.

☐ Type 6: (Non-volatile solvent manufacturing or mechanical extraction): _____

☐ Type 7: (Volatile solvent manufacturing): _____

☐ Type N: (Infusion of products): _____

☐ Type P: (Packaging and labeling): _____

☐ Type S: (Manufacturers who work in a shared-use facility): _____

c) **Commercial**

☐ Distributor: _____

☐ Distributor Transport Only: _____

☐ Microbusiness (*Note that retail activities are not eligible for certification)*

- Activities your microbusiness conducts: _____
- Microbusiness license number: _____

☐ Non-storefront Retailer (Delivery Only) (*Not eligible for certification)*: _____

☐ Storefront Retailer *(Not eligible for certification)*: _____

F. Annual Certification Fee

CCOF will estimate and invoice your certification fee based on the information provided below and collected at your initial and subsequent inspections. Please refer to the **CCOF Certification Services Program Manual** for fee information. **If you do not provide the information requested below, you cannot move forward in the certification process and your inspection will be delayed.** Certification **fees must be paid prior to issuance of certification.** Certification fees are confirmed upon application acceptance and may change.

1) What is your current or expected total value of certified OCal production/sales/services (gross, next 12 months):

_____

a) **If you are a Cultivator:** What is your current or expected cost of certified OCal product purchased, such as seed or planting stock (next 12 months) and service fees charged by certified OCal co-processors, etc. This will be subtracted from the amount in line 1 to determine your annual certification fee.

_____

b) **If you are a Manufacturer or Distributor:** What is your current or expected cost of certified OCal ingredients/products purchased (next 12 months) and service fees charged by certified OCal co-processors. This will be subtracted from the amount in line 1 to determine your annual certification fee.

_____

CCOF OCal Certification Contract

Operation Name: _____ **Date:** _____

G. Certification Contract and Agreement

► **The following must be signed by a legally authorized representative of any operation by all applicants for certification by CCOF OCal CS (CCOF).**

By signing this document, the applicant acknowledges that it has received, has read, fully understands, and agrees to be bound by the terms of the CCOF Certification Program Manual and further agrees to:

1) Comply with all State and applicable OCal production and handling regulations as described in rules issued by the California Department of Agriculture and California Department of Public Health (including those regulations in Title 3 California Code of Regulations (3 CCR) and the OCal Guidance as published on the CDFA website).

2) Comply with and strictly adhere to all CCOF standards, procedures and policies set forth in the CCOF Manual including but not limited to the following:
   a) Establishing, implementing, and updating annually an OCal System Plan that will be submitted to CCOF.
   b) Permitting on-site inspections at least once per calendar year with complete access to the production or handling aspects of the operation, including non-certified production areas, structures, or offices by CCOF. These inspections may be announced or unannounced at the discretion of CCOF or as required by an accreditation authority, government entity with jurisdiction, or other governing body.
   c) Maintaining all records applicable to the OCal operation for not less than five (5) years beyond their creation.
   d) Allowing authorized representatives of CCOF, an accreditation authority, government entity with jurisdiction, or other governing body access to these records under normal business hours for review and copying to determine compliance with the applicable standards, regulations or governing law.
   e) Understanding CCOF may use subcontractors for inspecting, testing and other technical services, as necessary.
   f) Submitting to CCOF any applicable fees as described on the most current fee schedule. Failure to pay fees as described in the CCOF Certification Services Program Manual may result in termination of this contract and surrender of certification.
   g) Immediately notifying CCOF concerning any application, including drift, of a prohibited substance to any field, production unit, site, facility, livestock, or product that is part of an operation.
   h) Immediately notifying CCOF of any change in your certified operation or portion of it that may affect its compliance with the applicable standards, regulations or governing law.
   i) Using the CCOF name and OCal seal(s) only in accordance with CCOF standards and ceasing all use of CCOF's name and OCal seal upon notice by CCOF. Any use of CCOF's names or marks, without the express consent of CCOF, is strictly prohibited and constitutes an infringement of CCOF's rights. CCOF shall be entitled to its reasonable attorney's fees and costs incurred in bringing any civil action, arbitration, or mediation to enforce its rights to its names or marks.
   j) Destroying or returning to CCOF all packaging and certificate(s) upon notice from CCOF.
   k) Understanding that the use of the CCOF name and seal must be in accordance with the CCOF standards.
   l) Authorizing CCOF to list certified parcel crops, products, services, and acreage on my certificate and in the CCOF Directory.
   m) Immediately ceasing all claims of CCOF certification associated with this operation, and destroying or returning all certificates, labeling, and marketing material containing reference to CCOF in the event that this operation withdraws, or its certification is suspended or revoked.
   n) Agreeing to be legally bound by the terms of the paragraphs entitled “Consent to Electronic Transmission”, “Governing Law”, “Consent to Jurisdiction”, “Indemnification” and “Limit of Liability” as described in the CCOF Certification Services Program Manual.
   o) Agreeing to be legally bound by the “Standards of Behavior” detailed in the CCOF Certification Services Program Manual.

I, the owner or legally authorized representative of the operation, acknowledge the above General Requirements for CCOF certification and understand that any willful misrepresentation may be cause for denial of an application and sanctioning of certification. I authorize the person(s) listed above to act on behalf of my company in establishing or maintaining OCal certification. I attest that all information in this application is true and accurate to the best of my knowledge. My handwritten signature or typed name entered in the signature field below constitutes my legal signature.

Name/Title	**Signature (handwritten or typed)**	**Date**

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CCOF OCal Certification Contract

Operation Name: _____ **Date:** _____

H. Credit or Debit Card Payment Information

Amount: $		
Card Billing Address:		
City:	State:	Zip code:
Name on Card:	Phone Number:	
Card Number: *CCOF accepts Visa, Master Card, Amex, Discover, or UnionPay credit or debit card types*		
Expiration Date (mm/yy): /	Security Number *(The three-digit code on the back of your card. For Amex, this is the four digits on the front)*:	
By providing credit or debit card information and signing the Certification Contract and Agreement, you authorize CCOF to charge the card provided for your application fee. A 3% surcharge will be added for credit card transactions. Debit card transactions are not subject to a surcharge.		

I. Public Profile Information (optional)

Use these options to describe your operation. This information will be used to populate your online directory profile and to help CCOF promote your unique operation.

☐ Do not include my operation in the online directory.

1) Online Presence:

☐ Facebook: _____ ☐ Linkedin: _____

☐ Instagram: _____ ☐ Pinterest: _____

☐ X (formerly Twitter): _____ ☐ Youtube: _____

2) Sales Methods:

☐ Community Supported Agriculture: _____

☐ Copacking Services: _____

☐ Export/Import: _____

☐ Farmer's Market: _____

☐ Ingredients: _____

☐ Internet: _____

☐ Produce Stand: _____

☐ Retail: _____

☐ Tasting Room/Winery: _____

☐ U-Pick: _____

☐ Wholesale: _____

3) Apprenticeship Options:

☐ Apprenticeship Offered: _____

Terms: ☐ Board ☐ Internships ☐ Wage ☐ Other: _____

4) Company Statement (Promotional/sales/informational or public statement about your company):

_____

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GUIDE TO OCAL CULTIVATOR OSP FORMS

► **Complete all OCal OSP section(s) listed for each activity that matches your current OCal activities or plans**. You do not need to complete sections that are not applicable to your operation.

If you do this:	Fill out these forms:
1) Apply for OCal certification.	• CCOF OCal Certification Contract
2) Cultivate cannabis.	• OCal Parcel Application (one for each parcel) • OCal Cultivator Materials Application (OSP Materials List) • OCal C4.0 Rotation & Soil Management • OCal C4.2 Natural Resources • OCal C5.0 Pest Management • OCal C6.0 Production • OCal C6.1 Harvest & Transport • OCal C7.0 Labeling • OCal C8.0 Record Keeping
3) Use seed or planting stock.	• OCal C3.0 Seeds and Planting Stock
4) Have production of cannabis or other cannabis plant material that does not take place in the ground, and/or production in greenhouses/shadehouses/buildings.	• OCal C3.1 Container & Greenhouse/Shadehouse Production
5) Use compost, vermicompost, compost tea, manure, and/or processed manure.	• OCal C4.1 Compost and Manure
6) Store cannabis and/or nonmanufactured cannabis products.	• OCal C6.2 Storage
7) Perform simple post-harvest handling of only my own OCal cannabis and/or OCal nonmanufactured cannabis products at my own facility/location.	• OCal C6.4 Simple On-Farm Post Harvest Handling
8) Source cannabis and/or cannabis products from other operations and/or sell cannabis and/or cannabis products in retail or nonretail markets	• Guide to OCal Handler OSP Forms Complete applicable forms as directed
9) Store cannabis or cannabis products in unsealed or permeable packaging at one or more uncertified facilities that do not open, re-label, or process them.	• OCal Uncertified Handler Affidavit (for each uncertified storage facility)
10) Process cannabis manufactured products or perform post-harvest handling at one or more facilities I own or lease.	• Guide to OCal Handler OSP Forms Complete applicable forms as directed
11) Provide cannabis production services to a CCOF certified entity and I want my CCOF certified customer to manage my certification on my behalf.	• Contracted Partner Program Application (optional)
12) Contract other independently certified facilities to pack, handle, or process my cannabis and/or cannabis products.	• OCal C6.1 Harvest & Transport

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Operation Name: _____ **Date:** _____

► *Applicants for OCal certification: Complete this form to describe your parcels used for OCal cannabis production.*

► *Certified operations: Complete this form to add a new parcel to your OCal certification (including land adjacent to existing parcels).* ***This form and supporting documents (map, land history) must be reviewed by CCOF prior to inspection of a new parcel. Submit this form and supporting documents to the CCOF office.*** *See our* ***Add Acreage Instructions*** *available at www.ccof.org/documents for fees and other details.*

A. Parcel Location and Cannabis

► Complete a **separate copy** of this form for each:

- Physically separate, non-adjacent area you wish to certify.
- Area with a different OCal eligibility date, even if adjacent.

1) Parcel name or code: _____

2) Block/lot number(s), or greenhouse/shadehouse numbering, if applicable: _____

3) Street address: _____

City: _____ County: _____

State/Province: _____ Country: _____

4) County Assessor's parcel number (APN), Section/ Township/ Range, or other legal description:

_____

5) Geographical coordinates or latitude/longitude (in decimal form), and/or additional location information, such as cross street/road:

_____

6) At this parcel, when do you expect to begin harvesting cannabis that you wish to market as OCal? _____

Cannabis harvested prior to inspection cannot be certified. *MM/DD/YY*

7) Parcel acreage (Total acres to be certified OCal at this location): _____

Include all acreage that you plan to use for OCal cannabis production. Exclude non-production areas (e.g. homes, parking areas, post-harvest handling/storage locations).

8) List strains currently grown (or planned to be grown) on this parcel, and the acreage for each strain. This is how your cannabis will be listed on your CCOF Client Profile. Attach an additional list if necessary. If you prefer your Client Profile to only list "cannabis," you may refrain from listing the strains and instead list the total acreage of cannabis grown on this parcel.

Strain	# of Acres	Strain	# of Acres

9) Is production at this parcel in containers (not in the ground)?

☐ No ☐ Yes. Complete or update **OCal 3.1 Container & Greenhouse/Shadehouse Production**.

10) Are there existing installations of lumber treated with arsenate or other prohibited materials within the boundaries of this parcel?

Lumber treated with prohibited materials may not be used for ***new installations or replacement purposes*** *in contact with soil or cannabis.*

☐ No. Skip to section B.

☐ Yes. Identify all treated lumber on parcel map (see section C below) AND complete or update **OCal 6.0 Production.**

a) Describe existing treated lumber (e.g. endpost, trellis, stakes, etc.): _____

b) Date of installation: _____

B. Adjacent Land Use and Buffer Zones

OCal parcels must have distinct boundaries (borders). Buffer zones are areas located between land maintained under OCal or NOP certified organic management and an adjacent land area not maintained under OCal or NOP certified organic management. They must be managed according to OCal standards and must be sufficient to prevent contamination of OCal land and cannabis by prohibited materials applied to adjacent land, and/or by products produced by genetic engineering (e.g. GMO seed). ***Notify CCOF immediately of any application or drift of a prohibited material to OCal land or cannabis.***

1) Describe all adjacent land uses and buffer zones surrounding this parcel.

Border	Adjacent Land Use		Buffer Zone Type (farm road, grass strip, canal, etc.)	Buffer Width (ft)	Is Cannabis Grown in Buffer Zone? (Y/N)
	(cropland, open land, residential, etc.)	Organic /OCal (Y/N)			
N					
S					
E					
W					

C. Maps

Our ***Parcel Maps Guide*** *is available at* ***www.ccof.org/documents***.

Attach an 8 1/2" x 11" (standard page size) map of the parcel listed above. **Maps utilizing satellite imagery are preferred;** however, acceptable maps also include Assessor's Parcel Maps or other maps **drawn to scale** that **clearly show the boundaries** of the parcel. **Include all of the following on your map:**

- ☐ Directional arrow (N/S/E/W).
- ☐ Parcel boundaries outlining the entire area intended for certification. *Include all acreage that you plan to use for OCal cannabis production. Exclude non-production areas (e.g. homes, parking areas, post-harvest handling/storage locations).*
- ☐ Notation of total parcel acreage.
- ☐ Permanent identifiers (if applicable): numbering or names of blocks/lots, greenhouse/shadehouses, paddocks, etc.
- ☐ Nearest public roads and other landmarks used to navigate to the parcel (railroad tracks, buildings, etc.)
- ☐ Other areas associated with your OCal operation (e.g. nursery production, storage areas for materials and/or crops, post-harvest handling locations, etc.)
- ☐ Adjacent land uses (for example: "non-OCal cannabis," "nonorganic walnuts," "non-organic pasture," "residential," etc.).
- ☐ Location and width of buffer zones (areas between the OCal parcel border and adjacent land not under OCal/organic management). *Indicate if cannabis will be grown in the buffer zone.*
- ☐ Natural resources (such as waterways, woodlands, riparian areas, windbreaks, beneficial habitat, conservation areas, wildlife corridors, etc.)
- ☐ Existing installations of treated lumber.

D. Land History & Activities

To sell or represent crops as 'OCal,' there must not have been any prohibited materials applied to the land or cannabis for at least 3 years preceding the date of harvest. For container systems built and maintained on land, this includes the land within the parcel boundaries and materials used within containers. ***Failure to provide accurate information may result in CCOF being unable to recognize any of the land use history provided.***

1) When did you begin managing this parcel? M: _____ D: _____ Y: _____

(The date you bought, began leasing, or otherwise became responsible for management of the land)

2) Is this parcel currently certified OCal or organic?

☐ Yes. Skip to section E. ☐ No. Complete this section.

3) Is all production at this parcel in containers AND on permanent, solid, impermeable flooring (e.g. concrete)?

☐ Yes. Skip to section E. ☐ No, all production is in-ground. Complete this section.

☐ No, container production is in containers on land OR on a permeable or removable surface. Complete this section.

4) Date of the Last Prohibited Material application (DLPM): M: _____ D: _____ Y: _____

(The most recent application of any prohibited material, including fertilizers, pesticides, and any other materials such as treated seed, adjuvants, etc.)

5) Material(s) applied on the DLPM: _____

6) If you have not managed the parcel for the entire past three years (or since the DLPM identified above, if < 3 years ago), identify the person(s) who had control of the parcel prior to your management. Attach additional pages if necessary.

☐ N/A, my operation has had control of the parcel for the past three years (or since the DLPM if < 3 years).

☐ Additional page(s) attached

Owner or Manager (check one)	Name	Start Date (MM/YY)	End Date (MM/YY)
☐ owner ☐ manager			
☐ owner ☐ manager			
☐ owner ☐ manager			

7) What crop production or other activities have occurred on this parcel during the past three years (or since the DLPM if < 3years)?

☐ Fallow M: _____ Y: _____ **TO** M: _____ Y: _____

☐ Cover cropping M: _____ Y: _____ **TO** M: _____ Y: _____

☐ Pasture M: _____ Y: _____ **TO** M: _____ Y: _____

☐ Production of crops (list crops grown in box below) M: _____ Y: _____ **TO** M: _____ Y: _____

☐ Other (describe in box below) M: _____ Y: _____ **TO** M: _____ Y: _____

a) Additional information regarding the activities listed above:

8) Since the DLPM, have prohibited materials of any kind been applied to any parcel borders or portions of the parcel not submitted for certification (e.g. herbicides or other prohibited materials applied to blocks or lots associated with the same APN, or to land contained under the same CA pesticide use reporting site ID)?

☐ No. Skip to section E. ☐ Yes. Complete this section.

a) Describe below, including names of materials used and application dates, and attach a map clearly showing locations of use.

☐ Map attached

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E. Verification of Land History & Activities

Your land cannot be certified OCal until all land history documentation is complete, the parcel has been inspected, and the inspection report reviewed. To establish land history CCOF may require additional verification from other sources such as Pesticide Use Reporting, or contract materials applicators. ***Making a false statement to a certifying agent may result in denial of certification pursuant to 3 CCR §10505.***

► Provide one of the following to verify land history.

- If the field is **currently certified organic or certified OCal**, provide **both** of the following:
  - ☐ A copy of a current organic certificate or OCal certificate listing that field/parcel
  - ☐ Verification there has been no lapse in organic or OCal management (for example, written confirmation from current certifier, completed Affidavit of Land History covering relevant time period, etc.)
- If the field is **not currently certified organic or certified OCal**, provide **one** of the following:
  - ☐ One or more copies of the Affidavit of Land History, signed and dated by each person identified in question F6 above, OR
  - ☐ Alternative documents, signed and dated by each person identified in question F6 above, which contain all of the information requested in the Affidavit of Land History

► For **container systems**: Provide one of the following: ☐ Not applicable, all crops are grown in ground.

The parcel will be evaluated for certification for future container production only.

- If all production at the parcel is in a **container system built or maintained on land**, provide the appropriate land history as noted in one of the two bullet points above, **AND**:
  - ☐ A statement signed and dated by an authorized representative of your operation listing:
    1) The planting date for your current container-grown cannabis **and**
    2) The full names of all materials used or applied from that date through the present, including the growing media/substrate and all fertility, pest control, and other materials used to date.
- If all production at the parcel is in a **container system on <u>permanent, solid, impermeable flooring</u>**, provide the following:
  - ☐ A statement signed and dated by an authorized representative of your operation listing:
    1) The planting date for your current container-grown cannabis **and**
    2) The full names of all materials used or applied from that date through the present, including the growing media/substrate and all fertility, pest control, and other materials used to date, **and**
    3) A description of the removal of potential contamination sources prior to transition to OCal production.

OCal Affidavit of Land History

- This document is your attestation of materials applied to the land during your management control and is necessary to determine the parcel's eligibility for OCal certification. If you have a special situation regarding verification of land use, contact CCOF to discuss it.
- Where more than one party must attest to activities, use additional copies of this **OCal Affidavit of Land History**.
- To establish land history, CCOF may require additional verification from government agencies (e.g. Pesticide Use Reporting) or other sources (e.g. contract materials applicators). ***Making a false statement to a certifying agent may result in denial of certification pursuant to 3 CCR §10505.***

A. This Affidavit of Land History pertains to the following parcel:

Parcel name: _____

Block/lot numbers or greenhouse/shadehouse numbering, if applicable: _____

Parcel Acreage: _____

Street address: _____

City: _____ County: _____ State: _____ Country: _____

Geographical coordinates or latitude/longitude; County Assessor's Parcel Number (APN), Section/Township/Range (S/T/R), or other parcel location description: _____

B. I have direct and comprehensive knowledge of the activities and materials applied to the parcel named above from:

_____ **through** _____

MM/DD/YY *MM/DD/YY*

I have this knowledge because:

- ☐ I owned the parcel and controlled activities taking place there during this time period.
- ☐ I managed the parcel and controlled activities taking place there during this time period.
- ☐ Other (describe): _____

C. Attestation of Material Use:

- *The CDFA OCal standards require that land used for OCal production complete a three-year transition free of applications of prohibited materials.*
- *Complete this section to attest to **all materials used** during the time period you have listed in part B above, **including the current crop cycle** if your operation currently manages the parcel, but **excluding any materials used more than 3 years ago.***
- *List the full product brand name, manufacturer name, and application date for **all** materials applied to this parcel during the relevant time period, including, but not limited to: fertilizers, pest or disease control materials, herbicides, compost and manure, seed treatments (including coatings, pelleting materials, and inoculants),* growing media (e.g. substrate, planting mix, potting soil), *adjuvants, etc.*

During the time period listed in part B above (excluding dates more than 3 years ago, if applicable), I attest the following:

- ☐ No materials of any kind were used (including during the current crop cycle, if applicable).
- ☐ All materials used (including during the current crop cycle, if applicable) are listed in the Table of Materials Used on the following page.
- ☐ All materials used (including during the current crop cycle, if applicable) are listed on attached pages, including full product/manufacturer names and application dates.

I attest that the information above is complete and correct to the best of my knowledge. I understand that making a false statement to a certifying agent may result in denial of certification pursuant to 3 CCR §10505.

Name (Print): _____ Title: _____

Company: _____

Email: _____ Phone: _____

Signature: _____ Date: _____

D. Table of Materials Used

Parcel name: _____

This is a list of **all materials (fertilizers, pest or disease control materials, herbicides, compost and manure, seed treatments (including coatings, pelleting materials, and inoculants), growing media (e.g. substrate, planting mix, potting soil), adjuvants, etc.)** used at this parcel during the time period indicated in section B of the parcel's Affidavit of Land History.

Full Product Name	Full Manufacturer Name	Application Date(s)	Type

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OCAL CULTIVATOR MATERIALS APPLICATION (OSP MATERIALS LIST)

Operation Name: _____ **Date:** _____

***All materials must be approved by CCOF for your operation prior to use**. You may use materials allowed under the National Organic Program (NOP) standards, available at www.ccof.org/standards. Fertilizing materials making claims of compliance to the NOP standards, or claims for use in organic crop and food production shall be registered as an organic input material with the California Department of Food and Agriculture.*

A. Materials

- ► **Submit this form with your initial application** to describe all materials used or planned for use at your operation. CCOF will review all materials listed and provide you with a copy of your **OCal System Plan Materials List (OSP Materials List)** that includes those materials CCOF has approved for your operation.
- ► After you receive your initial OSP Materials List, you must **update it on an ongoing basis to gain CCOF approval of all new materials prior to use.** To update your OSP Materials List, use **MyCCOF Materials Search**, email inbox@ccof.org, or otherwise notify CCOF of requested changes. See our **Materials Guide**.
- ► CCOF may require additional information prior to approval to protect you and help ensure you do not use materials that will negatively affect your OCal certification.

1) In the table below, list the following:
   a) All **cannabis input materials** you use or plan to use in OCal cannabis production, including: fertilizers, pest or disease control materials, herbicides, compost and manure, seed treatments (including coatings, pelleting materials, and inoculants), growing media (e.g. substrate, planting mix, potting soil), adjuvants, non-cannabis seed, etc.
   b) Materials used **after harvest** that are requested in the following forms:
      - **OCal 6.1 Harvest & Transport**: Section A (wash water additives for cannabis washed in the field)
      - **OCal 6.2 Storage**: Section B (pest control in cannabis storage facilities)
      - **OCal 6.4 Simple On-Farm Post Harvest Handling**: Section A (pest control in post-harvest handling facilities) and B (cleaners/sanitizers in post-harvest handling)

☐ Not Applicable, no materials/inputs of any kind used ☐ Other list attached

Full product name, including formulation (ex: "ABC Super Sulfur DF")	**Full manufacturer name** (ex: "XYZ Manufacturing, LLC")	**Reason for use** (ex: "pest control")

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Operation Name: _____ **Date:** _____

You must use your own OCal grown cannabis seeds and planting stock, or OCal cannabis seeds and planting stock obtained from an OCal-certified nursery licensed by the California Department of Food and Agriculture*. A nursery is defined as conducting all activities associated with producing clones, immature plants, seeds, and other agricultural products used specifically for the propagation and cultivation of cannabis. Non-OCal grown cannabis seeds and planting stock may be used when equivalent OCal grown varieties are not commercially available.*

A. Seeds & Planting Stock

Mark all of the following that you use or plan to use in OCal production:

1) **Cannabis seeds:** *Mark "with treatment" if your seed is fungicide/insecticide treated OR if there are other materials that remain on the seed when planted, such as inoculants, pelleting materials, coating/priming/encrusting materials, etc. Substances used by a seed or planting stock purveyor prior to the harvest of their non- OCal seeds or non-OCal planting stock for sale and use in OCal cultivation are not considered "treatment". Attach an OCal certificate for each OCal seed supplier.*

☐ None used ☐ OCal (untreated) ☐ OCal (with treatment)
☐ Non-OCal (untreated) ☐ Non-OCal (with treatment)
☐ Produce own OCal seed

2) **Cannabis planting stock:** *Planting stock is any plant or plant tissue, including shoots and stem cuttings, used in plant cultivation or propagation. Mark "with treatment" if your planting stock is fungicide/insecticide treated OR if there are other materials that remain on the planting stock when planted, such as rooting gels, etc. Substances used by a seed or planting stock purveyor prior to the harvest of their non- OCal seeds or non-OCal planting stock for sale and use in OCal cultivation are not considered "treatment". Attach an OCal certificate for each OCal planting stock supplier.*

☐ None used ☐ OCal (untreated) ☐ OCal (with treatment)
☐ Non-OCal (untreated) ☐ Non-OCal (with treatment)
☐ Produce own OCal planting stock. Describe in OCal C3.1 Container & Greenhouse/Shadehouse Production

3) **Non-cannabis seed:** *Non-cannabis seed used in OCal production for purposes such as cover cropping, alley cropping, intercropping, and hedgerows, shall not be GMO and/or treated with prohibited materials.*

B. Commercial Availability

*Non-OCal grown cannabis seed or planting stock **may only be used when an equivalent OCal variety is not commercially available**.*

***Commercial availability** is the ability to obtain cannabis seed or planting stock in an appropriate **form**, **quality**, or **quantity** to fulfill an essential function in your OCal system. Price cannot be a consideration for determination of commercial availability.*

*An **equivalent OCal variety** is a variety of the same type or one with similar agronomic or marketing characteristics needed to meet your site-specific requirements (e.g. days until harvest; color, flavor, moisture, chemical profiles of the cannabis; vigor or yield; regional adaptation; disease and pest resistance; utility in crop rotation, etc).*

1) Before using non-OCal cannabis seed and/or planting stock, do you evaluate whether an equivalent OCal variety is available in the form, quality, or quantity needed for your operation?

☐ Not applicable, my operation uses all OCal grown cannabis seed and/or planting stock at this time. Skip to section D.
☐ Yes. Complete this section.

a) For all cannabis seed and/or planting stock used at your OCal operation, what **general** characteristics (form, quality, quantity) do you require? *The **specific** form, quality, and quantity considerations you use to evaluate commercial availability of **each strain** must be documented in your records.*

☐ Available as untreated seed ☐ Available with allowed treatment (e.g. pelleting, inoculant)
☐ Germination rate ☐ Drought tolerance ☐ Pest or disease resistance ☐ Regional adaptation
☐ Greater crop yield ☐ Bulk quantities available ☐ Small quantities available
☐ Other (describe):

_____

2) How do you determine whether an equivalent OCal cannabis strain is available in the form, quality, and quantity needed for your operation?

☐ Check with at least three suppliers known to offer OCal cannabis seed and/or planting stock.
☐ Another entity searches on my behalf (e.g. cannabis grown on contract or seed broker) and checks with at least three suppliers known to offer OCal cannabis seed and/or planting stock.
☐ Other (describe):

_____

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3) What type of commercial availability records do you keep?

Records should document the strain, names of suppliers contacted, your operation's site-specific requirements for the cannabis, and whether an equivalent OCal cannabis strain meeting those requirements was available in the form, quality, and quantity you needed. Sample recordkeeping forms, including a commercial availability search record, are available at www.ccof.org/recordkeeping.

☐ I maintain records of my searches, which include the information listed above.

☐ I maintain documentation from the entity that searches on my behalf (e.g. cannabis grown on contract or seed broker), which include the information listed above.

☐ Other (describe):

C. Genetic Modification (GMO)

Genetically Modified Organisms (GMOs) and prohibited seed treatments are not allowed in OCal production. Therefore, both cannabis seeds and planting stock, as well as seeds used for purposes such as cover cropping, alley cropping, intercropping, and hedgerows, shall not be GMO and/or treated with prohibited materials. If you plan to sell non-cannabis crops used for purposes such as cover cropping, alley cropping, intercropping, and hedgerows as organic, you must be certified organic in addition to certified OCal. If you do not currently hold organic certification, contact getcertifed@ccof.org to inquire about organic certification for your non-cannabis crops.

1) How do you document non-GMO status for cannabis seed and planting stock as well as any seed used for purposes such as cover cropping alley cropping, intercropping, and hedgerows?

☐ Not applicable, all seed and/or planting stock is OCal or organic. Skip to section D.

☐ Non-GMO statement from the supplier ☐ Catalog statement

☐ Other (describe):

D. Seed and Planting Stock Treatments ☐ Not applicable, no treatments used. Skip to section E.

All materials, including seed and planting stock treatments, must be approved by CCOF for your operation prior to use.

1) For all seed and planting stock treatments (pelleting, coating, fungicide, insecticide, inoculants, rooting gels), how do you verify that the treatment uses only allowed materials and is non-GMO?

☐ OMRI or WSDA listing ☐ Approval by CCOF

2) Are all treatment materials included on your **OCal Cultivator Materials Application (OSP Materials List)**? ☐ Yes

E. Grafting

1) Does your operation graft planting stock?

☐ No. Stop this form is complete. ☐ Yes. List all materials on your **OCal Cultivator Materials Application (OSP Materials List)**.

a) Describe grafting practices:

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Operation Name: _____ **Date:** _____

- ► Complete this form if your OCal system includes any cannabis production that does not take place in the ground, such as cannabis grown to harvest/maturity in containers and planting stock or nursery production in containers.
- ► Complete this form if you have any cannabis production in greenhouses, shadehouses, and/or buildings.

A. Production Types and Locations

1) Check all OCal production systems that apply to your operation.

☐ None of these. Stop. Do not complete this form.

☐ Planting stock or other nursery production in containers.

Planting stock is plant or plant tissue, including shoots and stem cuttings, used in plant cultivation or propagation. Nursery production includes all activities associated with producing clones, immature plants, seeds, and other agricultural products used specifically for the propagation and cultivation of cannabis.

Indicate which you produce:

☐ For on-farm use ☐ For sale

☐ Cannabis grown to harvest/maturity in containers (e.g. production of cannabis in substrate/growing media, and/or in hydroponic, aeroponic, or aquaponic systems).

☐ Cannabis grown in the ground (not in containers) within greenhouses, shadehouses and/or buildings.

2) For the production types indicated in A1 above, are all growing locations within the boundaries of a certified OCal parcel?

All OCal growing locations must be listed on your Client Profile prior to OCal sales.

☐ Yes ☐ No. Submit **OCal Parcel Application(s)**

B. Production Materials and Practices

1) If you produce planting stock, describe your propagation system, including all materials and methods:

_____

a) Are all components of your propagation system listed on your **OCal Cultivator Materials Application (OSP Materials List)**?

☐ Yes

2) Do you use **growing media** (including substrate, planting mix, potting soils, etc.)?

☐ Yes. Complete this section. ☐ No, none used. Skip to question B3 below.

a) Are all components of your growing media (e.g. peat moss, coconut fiber, vermiculite, perlite, sand, compost, microbial inoculants, etc.) listed on your **OCal Cultivator Materials Application (OSP Materials List)**? ☐ Yes

b) Is new growing media used for each production cycle?

Spent growing media from non-OCal or nonorganic production may not be reused for OCal production.

☐ Yes ☐ No, describe source of reused growing media:

_____

c) How is growing media disposed of at the end of the production cycle?

Spent growing media must be managed in a way that does not contribute to contamination or degradation of natural resources.

☐ Reused/recycled onsite ☐ Composted onsite ☐ Other (explain):

_____

3) How do you manage irrigation water effluent and/or runoff to avoid contamination of the surrounding environment (including waterways, soil, etc.)?

☐ Recaptured/reused ☐ Other (explain):

_____

4) Do you produce OCal cannabis grown to harvest/maturity in containers?
☐ No OCal production of this type. Skip to section C.
☐ Yes. Attach a detailed description of the production system(s). Include **all** of the following:
a) Photographs of the system
b) Type of system (e.g. substrate production in pots/bags/troughs or other containers, hydroponic, aeroponic, aquaponic, etc.)
c) The stages and length of the production cycle
d) If substrate/growing media **is not** used, explain how plants/roots are supported (e.g. net pots, trays, polystyrene sheets, etc.)
e) If substrate/growing media **is** used, explain if it contains biological activity and/or organic matter, and whether it provides nutrition to the plant on an ongoing basis throughout the entire production cycle
f) If liquid nutrition is used, explain how it is delivered to the plant roots (e.g. indirectly via application to substrate/growing media, or directly – such as NFT, flood and drain, deep water culture, raft systems, aeroponics, etc.)
g) Whether fish are integrated into the system (aquaponics)
☐ A complete description, including photographs, is attached.

C. Non-OCal Production in Greenhouses, Shadehouses, and Buildings

1) Does your OCal production indicated in section A take place in greenhouses, shadehouses, or buildings?
☐ No. Stop, this form is complete. ☐ Yes. Complete this section.

2) Does your operation also have **non-OCal and/or nonorganic** production in greenhouses, shadehouses, or buildings?
☐ No, only OCal/ OCal and organic production. Stop, this form is complete. ☐ Yes. Complete this section.

3) Split operations (operations that produce OCal cannabis and non-OCal cannabis) are required to use obviously visible onsite signage to identify OCal and non-OCal production areas. Does your operation identify OCal and non-OCal production areas with visible signage?
☐ Yes

4) Mark all that apply to describe your non-OCal and/or nonorganic production in greenhouses, shadehouses, or buildings:
☐ OCal and non-OCal/nonorganic production take place in different, dedicated growing areas (e.g. adjacent structures, bays, sectors, etc.) Identify these areas on your parcel map(s) and complete the rest of section C.
☐ My operation wishes to obtain CCOF approval to use specific OCal growing areas for non-OCal/ nonorganic production when OCal production is not taking place in those areas ("alternating production"). Complete the rest of section C, and section D.

a) How do you label or distinguish between OCal and non-OCal/nonorganic plants from production through shipment/sale?
☐ Tray or plant tags. Attach in **OCal C7.0 Labeling** ☐ Other (describe):

b) How do you prevent mixing or commingling of growing media and fertility materials for OCal and non-OCal/nonorganic production, during preparation and storage?
☐ Only use materials on my OSP Materials List, for both OCal and non-OCal/ nonorganic production
☐ Standard Operating Procedure (SOP) for equipment cleanout (attach) ☐ Separate preparation and/or storage areas
☐ Other (describe):

c) How do you prevent drift of prohibited materials through shared ventilation systems?
☐ Not applicable, no shared ventilation systems
☐ Only apply materials on my OSP Materials List, for both OCal and non-OCal/nonorganic production ☐ Other (describe):

d) How do you prevent contact with prohibited materials applied through shared irrigation systems?
☐ Not applicable, no shared irrigation systems
☐ Only apply materials on my OSP Materials List, for both OCal and non-OCal/ nonorganic production ☐ Other (describe):

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D. Alternating Production

☐ Not applicable, not seeking approval for alternating production. Stop, this form is complete.

*Prohibited substances may not be applied to certified OCal land. Use of an OCal growing area for non-OCal/nonorganic production when OCal production is not occurring in the growing area ("alternating production") **may** be approved if verified that prohibited substances do not contact land at the growing location, management practices prevent contact with prohibited substances upon resuming OCal production, and recordkeeping is sufficient. **CCOF will notify you if your plan is approved.***

1) Which of the following apply to the OCal growing location(s) you wish to use for alternating production?

☐ The location(s) have solid, permanent, impermeable flooring (e.g. concrete). Attach photographs.

☐ The location(s) **do not** have solid, permanent, impermeable flooring (e.g. production takes place over soil, landscape fabric or ground covering, gravel, etc.) Attach photographs and explain how prohibited materials are prevented from contacting land beneath the growing area (attach any relevant supporting documentation).

Note that approval of alternating production in this circumstance is rare; contact CCOF to discuss your situation.

a) List all OCal growing locations (e.g. parcel name/greenhouse or building number) you wish to use for alternating production and the cannabis plant material type(s) to be produced (e.g. clones).

You must update this plan and re-submit it for approval before using additional locations for alternating production.

b) When do you anticipate using these OCal growing locations for non-OCal /nonorganic production?

☐ Intermittently, based on customer demand ☐ On a regular schedule (describe): _____

2) Describe or attach your Standard Operating Procedure (SOP) for cleaning all equipment and surfaces to remove residue of prohibited substances, prior to resuming OCal production. ☐ Attached

a) How do you document the following?

- The beginning and end dates of each OCal and non-OCal/nonorganic production cycle
- Implementation of your SOP before the beginning of each new OCal production cycle

☐ Production records ☐ Cleaning logs ☐ Other (describe): _____

CCOF use only:

☐ *Plan approved. Notification date:* _____

☐ *Plan not approved. Notification date:* _____

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Operation Name: _____ **Date:** _____

Crop nutrients and soil fertility shall be managed through rotations, cover crops, intercropping, alley cropping, hedgerows or the application of plant and animal materials which are managed so that they do not contribute to contamination of crops, soil or water by plant nutrients, pathogens, heavy metals, or residues of prohibited substances. The cultivator shall implement cultivation and tillage practices that maintain or improve physical, chemical, and biological conditions of the soil, and minimize soil erosion.

A. Crop Rotation and Soil Management

Crop rotation is the practice of alternating the annual crops grown on a specific field in a planned pattern or sequence in successive crop years so that crops of the same species or family are not grown repeatedly without interruption on the same field.

Crop rotations must provide the following functions that are applicable to the operation: ***maintain or improve soil organic matter content, provide for pest management, manage deficient or excess plant nutrients, and provide erosion control.***

Container production systems shall use practices to introduce biological diversity and provide the functions listed above that are applicable to the operation, in lieu of crop rotation. Such practices include but are not limited to alley cropping, intercropping, hedgerows, etc.

1) Describe your plan for compliance with the crop rotation practice standard:

a) **If you grow in-ground cannabis:** ☐ Not applicable, no in-ground production

Describe or attach a description of your crop rotation plan. Include: the planned sequence of plant families, cover crops, and any fallow periods; the length of each planting or stage in the sequence; the total length of time to complete the planned rotation sequence.

b) **If you grow cannabis in containers:** ☐ Not applicable, no container production

Describe or attach a description of your plan to provide for pest management and introduce biological diversity in lieu of crop rotation. Include: any ground cover, cover cropping, alley cropping, intercropping, hedgerows, or other types of diversified plantings.

c) Provide any additional explanation or site-specific information that demonstrates how your planned practices maintain or improve soil organic matter, provide for pest management, manage excess or deficient plant nutrients, and/or control erosion.

☐ Not applicable, already described in a) or b) above.

2) What are the major components of your soil fertility and cannabis nutrient management plan?

☐ Incorporation of crop residue ☐ Manure ☐ Compost with manure ☐ Compost without manure
☐ Mined gypsum or limestone ☐ Foliar fertilizers ☐ Crop rotation ☐ Blended fertilizers ☐ Mined minerals or powders
☐ Plant materials ☐ Biodynamic preparations ☐ Soil inoculants ☐ Cover crops including green manures
☐ Side dressing or drip applications ☐ Other (describe):

3) List all fertility materials planned for use on your **OCal Cultivator Materials Application (OSP Materials List)**. ☐ Attached

4) List or describe your tillage and cultivation practices in the order performed throughout the season and explain how they maintain or improve the physical, chemical, and biological condition of the soil and minimize soil erosion:

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B. Monitoring Plan

1) How do you monitor the effectiveness of your soil fertility and cannabis nutrient management plan?
☐ Soil organic matter content ☐ Cannabis yield comparison ☐ Cannabis health observation
☐ Reduced fertility inputs ☐ Reduced pest control inputs ☐ Reduced erosion ☐ Other (describe):

a) How often is monitoring performed?
☐ Daily ☐ Weekly ☐ Monthly ☐ Annually ☐ As needed ☐ Other:

2) What type of testing do you perform? *Test results must be available at inspection.*
☐ N/A, no testing performed ☐ Soil tests ☐ Tissue tests ☐ Microbiological tests ☐ Cannabis quality testing
☐ Other (describe):

a) How often is testing performed?
☐ Daily ☐ Weekly ☐ Monthly ☐ Annually ☐ As needed ☐ Other:

C. Erosion Control ☐ Not applicable, no erosion problems

1) What practices do you use to prevent or minimize erosion?
☐ No-till or permanent cover ☐ Strip cropping ☐ Leveling ☐ Contour farming ☐ Terraces ☐ Cover cropping
☐ Conservation (minimum) tillage ☐ Micro-irrigate ☐ Windbreaks ☐ Minimize bare ground via crop rotation
☐ Other (describe):

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Operation Name: _____ **Date:** _____

A. Compost & Manure

Compost containing manure may be used without a specific interval between application and harvest if produced under specified conditions, described below. Composted plant materials may be applied without restriction. Vermicompost with manure as a feedstock may be used without a specific interval between application and harvest if produced under specified conditions described below, per OCal Guidance 5021. Processed manure may be used without a specific interval between application and harvest if heat treated to reduce pathogenic contamination (150 degrees F for one hour or 165 degrees F with a maximum moisture level of 12% or equivalent, per OCal Guidance 5006.

1) Are **all** of the following materials you use in OCal production listed on your **OCal Cultivator Materials Application (OSP Materials List)**? Compost, compost tea, vermicompost, processed (heat treated) manure, raw (uncomposted) manure. On your OSP Materials List, include all of these materials that your operation either **produces** and/or **purchases.**

☐ Not applicable, none of these are used. Stop, this form is complete.

☐ Yes.

2) Indicate which of the following you **produce** at your OCal operation. Mark all that apply.

☐ Compost ☐ Compost tea ☐ Vermicompost ☐ Not applicable, do not produce any of these. Skip to section B.

a) List all feedstocks/ingredients in the compost, vermicompost and/or compost tea you produce. If you produce more than one of these, provide separate ingredient lists for each.

b) If you produce **compost containing manure**, and/or **compost tea** made from this compost, indicate which of the following compost production methods you use: ☐ Not applicable

☐ In windrows: compost reaches 131-170ºF (55-77 ºC) for at least 15 days, during which time it is turned at least 5 times

☐ In-vessel or static aerated pile system: compost reaches 131-170ºF (55-77 ºC) for at least 3 days

Maintain compost production records.

☐ My compost does not meet either of the requirements above, so it is considered "raw manure." Complete section B below.

c) If you produce **vermicompost containing manure**, are the following conditions met? ☐ Not applicable

- *Aerobic conditions and a moisture level of 70-90% are maintained during production.*
- *The duration of composting is sufficient to produce a finished product that does not contribute to contamination of crops, soil, or water by plant nutrients, pathogenic organisms, heavy metals, or residues of prohibited substances.*

☐ Yes. *Maintain vermicompost production records and any test results.*

☐ My vermicompost does not meet these requirements, so it is considered "raw manure." Complete section B below.

B. Raw Manure

"Raw" manure refers to animal manure that has not been composted according to the specifications in part A above, and has not been processed (heat treated) as described at the top of this form.

1) Do you apply **raw animal manure** (including any compost, compost tea, or vermicompost containing manure that does not meet the requirements in part A), and/or do you have **planned grazing of animals** in your OCal production areas?

☐ No. Stop, this form is complete. ☐ Yes, raw animal manure is applied. Complete this section.

☐ Yes, my operation uses planned grazing of animals in OCal production areas. Complete this section.

2) How do you meet the following restriction on the use of raw manure, either applied or from planned grazing? Mark all that apply.

Raw manure shall be incorporated into the soil at least 120 days prior to harvest of cannabis whose consumable portion has direct contact with the soil surface or soil particles, or at least 90 days prior to harvest of cannabis whose consumable portion does not have contact with the soil surface or soil particles.

☐ Used for crops that are not for human consumption (e.g. cover crops, livestock feed)

☐ Incorporated at least 120 days before harvest of cannabis whose consumable portions contact soil or soil particles

☐ Incorporated at least 90 days before harvest of cannabis whose consumable portions do **not** contact soil or particles

3) How do you ensure that manure does not contaminate wells, rivers or streams, lakes or ponds?

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Operation Name: _____ **Date:** _____

You shall implement production practices which maintain or improve the natural resources of your operation, including soil and water quality. ***"Natural resources" are defined as the physical, hydrological, and biological features of your operation, including soil, water, wetlands, woodlands, and wildlife.*** *Production practices shall respond to site-specific conditions by integrating cultural, biological, and mechanical practices that foster cycling of resources, promote ecological balance, and conserve biodiversity. Biodiversity conservation refers to your efforts to improve and maintain the variety of plants, animals, insects, and microorganisms on your farm and in your soil.*

► This form applies to all parcels engaged in OCal production, including greenhouses and other non-field OCal production systems. If needed, attach additional pages and/or maps reflecting natural resource management practices.

A. Biodiversity Conservation & Natural Resource Management

1) Describe the natural resources and biodiversity of your operation and surrounding ecosystems, including soil type and condition, bodies of water, nearby wetlands and woodlands, wildlife, windbreaks, hedgerows, native habitat, and beneficial plantings. Include any problem areas such as erosion and invasive species.

2) Do you have a current conservation plan or contract with the USDA Natural Resources Conservation Service (NRCS) or other conservation agency?
☐ No ☐ Yes.
a) If yes, list the conservation practices that are being implemented and be prepared to show your plan at inspection.

3) How do you maintain or improve your water resources (consider both quantity and quality)?
☐ Efficient irrigation use (quantity, timing and technology) ☐ Allocate water to non-crop areas for wildlife and habitat
☐ Target and meter fertilizer use to prevent nutrient runoff ☐ Manage excess water towards on-site retention and infiltration
☐ Vegetative cover filters for sediments and other pollutant ☐ Use fish screens ☐ Other (describe):

4) How do you improve and/or maintain natural resources in non-crop areas, such as borders, fallow ag land, and non ag habitats?
☐ Preserve/restore wetlands and riparian areas ☐ Increase and protect native plants/wildlife ☐ Minimize erosion
☐ Preserve/restore wildlife corridors ☐ Leave areas as undisturbed habitat refuge ☐ Wildlife friendly fences
☐ Establish legal conservation areas ☐ Restore degraded areas ☐ Native habitats not converted to farmland since certification
☐ Other (describe):

5) How are you managing habitat for pollinators, natural enemy insects, and other wildlife throughout the production season?
☐ Hedgerows ☐ Windbreaks ☐ Raptor perches or trees at field edge ☐ Bird or bat boxes ☐ Ensure a clean water source
☐ Plant flowers interspersed with crops ☐ Implement measures to support a variety of bee species
☐ Allow non-invasive plants in non-cropped, fallow, & border areas ☐ Provide extended food supply ☐ Other (describe):

6) What actions do you take to prevent or control invasive plant/animal species, especially those threatening natural areas?
☐ Learn to identify invasive plant and animal species ☐ Monitor for new introductions and suppress or remove immediately
☐ Plant competing beneficial native plants ☐ Use weed & pest-free seed/planting stock/soil amendments/mulches
☐ State or Federal agency controls invasive species ☐ Other (describe):

7) If you restrict wildlife from your production areas due to food safety or other cannabis production concerns, or if you have converted wildlife habitat to cannabis production, how do you mitigate the resulting loss of wildlife habitat?
☐ Develop or enhance habitat in other areas of your farm ☐ Plant buffers between crop and habitat areas
☐ Leave room for habitat when using fencing (riparian or corridor) ☐ Other (describe):

☐ Not applicable (explain why not):

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8) Operations producing cannabis within enclosed structures/buildings (i.e. greenhouses, etc): Describe additional conservation measures implemented at your operation. ☐ Not applicable

☐ Water conservation ☐ Energy conservation ☐ Recycling ☐ Composting ☐ Diverse outdoor plantings
☐ Surrounding habitat restoration ☐ Sustainable packaging ☐ Crop rotation within greenhouses ☐ Other (describe):

9) Describe any additional measures taken to conserve natural resources: ☐ Not applicable

B. Biodiversity and Natural Resources Monitoring Plan

1) How do you verify the effectiveness of your conservation measures and document whether they improve or maintain the natural resources of your operation?

☐ Photograph logs ☐ Document fertility & pest control cost trends ☐ Document water use trends
☐ Plant, animal, insect surveys ☐ Observations in farm logs and journals ☐ Maintain conservation map
☐ Water testing ☐ Soil testing ☐ Periodic expert evaluation and report (such as NRCS) ☐ Other (describe):

C. Conservation Involving Livestock ☐ Not applicable, no livestock involved

1) How do you protect natural wetlands, riparian areas, and sensitive habitats from impacts due to livestock?

☐ Limit livestock access to riparian areas, sensitive habitats and use designated stream crossings
☐ Locate feed stations, water troughs and mineral blocks away from streams and water sources
☐ Conserve native vegetation along waterways ☐ Manage excess manure to nutrient and pathogen pollution
☐ Allow the natural process of plan regeneration along stream banks ☐ Other (describe):

2) How do you improve or protect your pasture or rangeland?

☐ Manage the frequency, density and timing of grazing to allow plant regeneration ☐ Reseed trampled or eroded areas
☐ Plant a diversity of native species ☐ Provide adequate shaded areas to minimize soil compaction
☐ Prevent excess deposits of manure ☐ Encourage plant growth that filters manure runoff
☐ Minimize grazing wetlands and other soggy areas ☐ Other (describe):

3) What management practices do you use to ensure a healthy relationship between livestock and wildlife?

☐ Use guard animals ☐ Graze when predation is low ☐ House livestock overnight in protected area ☐ Use electric fencing
☐ Provide water troughs with escape ramps for wildlife ☐ Small animals are grazed with large ☐ Predator lights are used
☐ Design fencing to minimize entrapment and provide for wildlife corridors
☐ Allow non-predatory wildlife, such as grazers and birds to co-exist with livestock ☐ Other (describe):

4) How do you manage yards, feeding pads, feedlots, laneways and housing to prevent runoff to surface water and to prevent dust from moving offsite?

☐ A plan for confinement areas is in place before severe erosion problems occur ☐ Livestock is rotated to multiple areas
☐ Concentrated runoff is diverted into a temporary storage lagoon ☐ Manure is periodically removed and composted
☐ Confined sites are large enough to handle the type and number of animals present ☐ Air filtration is used in housing
☐ Manure ground into dust in confined areas is watered down ☐ Windbreaks are used outside housing
☐ Confined sites are made of concrete or well-draining rock bases ☐ Other (describe):

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Operation Name: _____ **Date:** _____

A. Preventative Pest Management Strategies

Preventative management practices such as crop rotations and nutrient management in addition to mechanical and physical controls are required to manage insects, diseases and weeds prior to the use of biological or botanical substances (materials) or those included on the National List of synthetic substances allowed for use in organic crop production

Check the strategies you use to prevent or control pests:	Weeds	Insects & invertebrates	Diseases & nematodes	Vertebrate pests
Crop rotation (describe in OCal C4.0)	☐	☐	☐	☐
Cover cropping	☐	☐	☐	☐
Strip cropping, interplanting or planting mixed species	☐	☐	☐	☐
Trap crops	☐	☐	☐	☐
Crop nutrient management	☐	☐	☐	☐
Sanitation, cleaning up debris, nesting areas, removal of disease vectors, weed seed sources, etc.	☐	☐	☐	☐
Growing location	☐	☐	☐	☐
Timing of planting	☐	☐	☐	☐
Resistant varieties or rootstock	☐	☐	☐	☐
Remove pest by hand (hoeing, pruning, picking, vacuum)	☐	☐	☐	☐
Mechanical cultivation (disc, plow, harrow, rototill, etc.)	☐	☐	☐	☐
Mowing or grazing	☐	☐	☐	☐
Irrigation method (drip, furrow, etc.) or management	☐	☐	☐	☐
Mulching with biodegradable materials	☐	☐	☐	☐
Non-PVC plastic or synthetic mulches, or solarization	☐	☐	☐	☐
Plant beneficial habitat areas	☐	☐	☐	☐
Construct predator habitat (owl nests, perches, etc.)	☐	☐	☐	☐
Release beneficial organisms	☐	☐	☐	☐
Construct barriers (fences, raised platforms, etc.)	☐	☐	☐	☐
Traps	☐	☐	☐	☐
Flaming	☐	☐	☐	☐
Other physical or mechanical means (describe):				
Burning crop residue (complete C below)	☐	☐	☐	☐

B. Materials for Controlling Weeds, Insects, or Diseases

1) Do you use materials for controlling weeds, insects, or diseases?

☐ No materials used. Skip to section C.

☐ Yes. List all materials on your **OCal Cultivator Materials Application (OSP Materials List)**.

An allowed synthetic material may be used if it is on the National List and if the conditions for using the material are documented in the OCal System Plan.

2) Describe the conditions that must exist before you will resort to using materials for weed, insect or disease control:

☐ When preventative measures/controls described above fail ☐ When economic thresholds for pest damage are exceeded

☐ Other (describe): _____

C. Burning Crop Residues

1) Do you burn crop residues?

☐ No. Stop, this form is complete. ☐ Yes, complete this section.

Burning may not be used as a means to dispose of crop residues. However, it may be used to suppress diseases or stimulate seed germination consistent with local and state laws and regulations. Crop residues are defined as the plant parts remaining in a field after the harvest of a crop, which includes stalks, stems, leaves, roots and weeds.

2) What crop residues do you burn, and how often do you burn them?

_____

3) What diseases are to be suppressed, or which specific seeds are to be germinated?

_____

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Operation Name: _____ **Date:** _____

Your OSP shall describe management practices and physical barriers used to prevent contact with prohibited substances.

A. Buffer Zones

OCal parcels shall have distinct boundaries. Buffer zones between OCal parcels and adjacent land not under OCal or NOP certified organic management must be sufficient to prevent contamination of OCal land and cannabis by prohibited materials applied to adjacent land.

► **Notify CCOF immediately of any known application or drift of a prohibited material to OCal land or cannabis.**

► If prohibited materials are used on land adjoining your OCal parcel, you may be required to widen existing buffer zones or implement other preventative measures to prevent contamination of OCal cannabis.

1) If cannabis is grown in buffer areas, how is it separated during harvest, storage, and sales? *Maintain documentation of separation.*

☐ Not applicable, no buffer crop grown ☐ Harvest containers are not marked 'OCal' ☐ Buffer crop is not harvested
☐ Stored apart ☐ Harvest at different times ☐ Buffer crop is not marked 'OCal' on records ☐ Mark or flag buffer areas
☐ Buffer crop is destroyed ☐ Sold to a different buyer than the OCal cannabis ☐ Given away
☐ Keep separate records to track harvest and sales ☐ Other (describe):

_____

2) What safeguards do you use to prevent contamination from drift?

☐ None, no surrounding use of prohibited materials ☐ Written notification to neighbors
☐ Written agreement with neighbors (attach)
☐ Written notification to the agency managing weed control along roadways (i.e. county road dept)
☐ Post signs ☐ 3rd party residue testing ☐ Ongoing monitoring of neighboring land management practices
☐ My operation manages the adjacent non-organic land and takes precautions to avoid drift (describe):

_____

☐ Other (describe): _____

B. Split Production

Split operations (operations that produce OCal cannabis and non-OCal cannabis) are required to use obviously visible onsite signage to identify OCal and non-OCal production areas.

1) Does your operation identify *OCal and non-OCal production areas with visible signage?*

☐ Yes

C. Lumber Treated with Prohibited Materials

*Lumber treated with arsenate or other prohibited materials may not be used for **new installations or replacement purposes** in contact with soil or livestock.*

2) Is there existing lumber on any parcel you are applying to certify, and/or do you plan to install lumber within OCal parcel boundaries in the future?

☐ No, neither of the above. Skip to section C. ☐ Yes. Complete this section.

3) How do you ensure that lumber treated with prohibited materials will not be used for new installations or for replacing existing lumber (e.g. endposts, trellis, stakes, etc.)?

☐ Use metal stakes ☐ Use untreated wood ☐ Other (describe):

_____

D. Production Equipment

1) Do you use input material application equipment and/or seeding/planting equipment that is also used in non-OCal or nonorganic production?

☐ No, none of the above used. Skip to section D.
☐ No, all equipment used for OCal and/or organic production only. Skip to section D.
☐ Yes. Complete this section.

2) List input material application equipment and seeding/planting equipment used in your OCal production that is **also** used for nonorganic production. Attach additional pages if necessary.

Equipment type (not for tillage)	Own, rent, borrow, or custom work?	How is it cleaned before use on OCal, including materials used?

3) How do you document that the equipment is cleaned or purged?
☐ Cleaning and/or purge logs ☐ A Standard Operating Procedure (SOP) is followed (attach SOP) ☐ Other (describe):

E. Irrigation

1) Do you irrigate?
☐ No. Skip to section E. ☐ Yes. Complete this section.

2) What is the source of your irrigation water?
☐ Well ☐ Reservoir
☐ Water district (name): _____
☐ River, stream, or lake (name): _____

3) Are you aware of any prohibited materials that may be in your irrigation water?
☐ Yes ☐ No

4) Does your OCal land share irrigation lines or irrigation water (including tail water) with other cropland where prohibited materials are applied into the water?
☐ Yes ☐ No
a) If yes, is **your operation** responsible for applications of prohibited materials?
☐ Yes ☐ No

5) If yes to question 3 or 4 above, what measures have you taken to prevent contact of OCal cannabis or land with prohibited materials? Attach a map showing a piping diagram if valves or backflow prevention devices have been installed.

6) Do you apply materials to OCal cannabis or land via irrigation water (e.g. fertigation, irrigation line cleaners, pH adjusters, etc.)?
☐ No ☐ Yes. List all materials on your **OCal Cultivator Materials Application (OSP Materials List)**.

F. Prohibited Materials Storage on Farm

1) Do you store any prohibited materials (or materials not included on your approved OSP Materials List) on farm?
☐ No. Stop, this form is complete. ☐ Yes. Complete this section.

2) In storage areas, how do you identify and separate these materials from materials that are included on your approved OSP Materials List?

Operation Name: _____ **Date:** _____

A. Responsibility for Harvest & Transport

The certified OCal operation responsible for harvest and transport of OCal cannabis shall maintain relevant records. Certified operations that contract with uncertified companies for harvest and transport services must maintain records for activities performed by uncertified companies on their behalf. Records must be sufficient to track OCal cannabis/nonmanufactured cannabis products from one certified operation to another; demonstrate transportation practices; and document prevention of commingling between OCal and non-OCal cannabis/ nonmanufactured cannabis products and contamination from contact with prohibited substances.

1) My operation is responsible for **harvest** in the following ways (mark all that apply):
   - ☐ My operation performs the harvest.
   - ☐ My operation (or my certified OCal buyer) hires an uncertified company (contract harvester) to harvest my cannabis. *Maintain records of activities performed by contract harvesters at your OCal parcels.*
   - ☐ Other responsibility for harvest (describe): _____
   - ☐ Not applicable, no responsibility for harvest (e.g. cannabis is sold "in the field"). Describe:

     _____

2) My operation is responsible for post-harvest **transportation** in the following ways (mark all that apply):
   - ☐ My operation transports the cannabis
   - ☐ My operation (or my certified OCal buyer) hires an uncertified company to transport my cannabis. *Maintain records of transport performed by uncertified companies on your behalf. Transporters that **combine or split loads** of unpackaged cannabis must be certified OCal. Transporters that **handle** cannabis (e.g. packaging, labeling) must be certified OCal.*
   - ☐ Other responsibility for transport (describe): _____
   - ☐ Not applicable, no responsibility for harvest (e.g. cannabis is sold "in the field"). Describe:

     _____

B. Harvest & Transport Practices

☐ Not applicable, my operation has no responsibility for harvest and transport, as indicated in section A above.

If uncertified companies are hired, you are responsible for ensuring they comply with your OSP and you must maintain all relevant records. Describe their practices in this section and/or attach additional documentation.

1) Is any equipment for harvest, in-field washing and/or packing, or transport used for **both** OCal and non-OCal cannabis/nonorganic crops?
   - ☐ Yes. Complete the table below.
   - ☐ No, all equipment is dedicated OCal and/or organic. Skip to question B3.

Equipment	Own, rent, borrow, or custom work?	How is it cleaned before use on OCal, including materials used?

2) How do you document that equipment is cleaned or purged?
   ☐ Cleaning and/or purge logs ☐ A Standard Operating Procedure (SOP) is followed (attach SOP) ☐ Other (describe):

   _____

3) Do you wash cannabis in the field? ☐ No. Skip to question B4. ☐ Yes. Complete this section.
   a) If you treat wash water on-site (e.g. RO, UV, carbon filtration, water softeners, pH adjustment), does treated water meet Safe Drinking Water Act Standards? Contact treatment manufacturer if you are unsure.
      ☐ Not applicable ☐ Yes. *CCOF may request documentation that treated water meets Safe Drinking Water Act standards.*
   b) Do you add any substances to the wash water, e.g. peracetic acid, hydrogen peroxide, chlorine?
      ☐ No ☐ Yes. List materials on your **OCal Cultivator Materials Application (OSP Materials List)**.
   c) Do you add **chlorine** to the wash water?
      ☐ No. Skip to question B4. ☐ Yes. Continue below.

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i) If yes, do products undergo a final fresh water rinse?

Residual chlorine levels in water at the last point of contact must not exceed the maxiumum residual disinfectent limit under the Safe Drinking Water Act.

☐ Not applicable ☐ Yes ☐ No, chlorine never added to water above SDWA limits

4) Is OCal and non-OCal cannabis harvested?

☐ No. Skip to section C. ☐ Yes. Complete this section.

5) How is commingling of OCal and non-OCal cannabis prevented during harvest and/or transportation from the field?

☐ Distinctly labeled or marked containers or trucks ☐ Closed containers ☐ Harvested at different times

☐ Shipped on separate, marked vehicles ☐ Crops shipped to separate destinations ☐ Other (describe):

C. Post-Harvest Handling/Processing at Another Operation's Certified OCal Facility

1) Is your cannabis handled and/or processed into a nonmanufactured cannabis product(s) or manufactured cannabis product(s) at another operation's certified OCal facility after harvest?

☐ No. Stop, this form is complete.

☐ Yes, but ownership of cannabis is transferred before or upon delivery to the facility. Stop, this form is complete.

☐ Yes. Complete this section.

2) List all finished OCal cannabis products and the corresponding name of the certified OCal entity that handles the cannabis and/or processes the cannabis product(s) below. Attach additional pages if necessary. Include private label products. Attach all labels in **OCal C7.0 Labeling**. *A nonmanufactured cannabis product is defined as flower, shake, leaf, pre-rolls, and kief that is obtained from accumulation in containers or sifted from loose, dry cannabis flower or leaf with a mesh screen or sieve. Manufactured cannabis products are defined as cannabis that has undergone a process whereby the plant material has been transformed into a concentrate. This includes, but is not limited to, concentrated cannabis, an edible or topical product containing cannabis, or concentrated cannabis containing other ingredients.*

Product Category, detail and brand name will appear on your certificate; product category will appear in the public directory of CCOF certified operations, unless you opted out of the directory on your OCal contract. CCOF reserves the right to modify product listings to reflect directory naming conventions.

Product Category *(Ex: pre-rolls or gummies)*	**Product Detail**	**Finished Product Packaging** *Attach labels in OSP C7.0*	**Certified Operation where Product is Handled or Processed** *Attach current OCal certificates*
		☐ Retail ☐ Wholesale/Bulk ☐ Not packaged	
		☐ Retail ☐ Wholesale/ Bulk ☐ Not packaged	
		☐ Retail ☐ Wholesale/ Bulk ☐ Not packaged	

3) The OCal certificate for the entity that handles the cannabis and/or processes the cannabis product(s) must list the **specific finished products listed above** and **brands** (if any). Does the certificate contain this information? ☐ Yes

4) How do you verify that OCal certificates are current and complete for all certified OCal entities listed above?

☐ Maintain current certificates listing specific branded products, updated annually. ☐ Other (describe):

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Operation Name: _____ **Date:** _____

A. Cannabis and Nonmanufactured Cannabis Products Stored at Another Operation

► Complete this section if you store cannabis and/or nonmanufactured cannabis products at facilities or storage locations that **you do not own, operate, and/or lease.** Nonmanufactured cannabis products are defined as flower, shake, leaf, pre-rolls, and kief that is obtained from accumulation in containers or sifted from loose, dry cannabis flower or leaf with a mesh screen or sieve.

☐ Not applicable. Skip to section B.

1) Do you own the cannabis and/or nonmanufactured cannabis products while it is in storage?

☐ No. Skip to section B. ☐ Yes. Complete this section.

2) Which of the following applies to the storage facility? (If multiple facilities, check all that apply)

☐ The facility is certified, and I maintain copies of their OCal certificate. **Attach certificate.**

If the facility handles your cannabis and/or nonmanufactured cannabis products in any way other than storage, list in OCal C6.1 Harvest & Transport instead.

☐ This facility is uncertified and covered by an OCal Uncertified Handler Affidavit (OCal UHA). **Attach completed OCal UHA.**

If the facility does not meet the requirements outlined on the OCal UHA, it is required to be certified.

3) List and describe your storage locations:

Stored Product (Cannabis or Nonmanufactured Cannabis Product)	**Storage Location Name/Address**

B. Cannabis and Nonmanufactured Cannabis Products Stored at Owned, Operated, and/or Leased Locations

► Complete this section if you store cannabis and/or nonmanufactured cannabis products at facilities or storage locations that **you own, operate, and/or lease.**

☐ Not Applicable

If you handle the cannabis and/or nonmanufactured cannabis products in any way other than storage at the location(s) below, also complete either OCal C6.4 Simple On-Farm Post Harvest Handling (for simple processing of cannabis and nonmanufactured cannabis products at your own all-OCal facility) OR the Handler OSP forms indicated in the Guide to OCal Handler OSP Forms.

1) List and describe your storage locations:

Stored Product (Cannabis or Nonmanufactured Cannabis Product)	**Storage Location Name/Address**	**Type** (cold, dry, etc)

2) Do you use the same storage units or containers for OCal and non-OCal cannabis or non-organic crops?

☐ No, not applicable. Skip to question B3. ☐ Yes. Complete this section.

a) How do you separate and label OCal and non-OCal cannabis?

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b) How do you identify OCal storage areas?

c) How do you clean storage units or containers prior to storage of OCal crops & record the cleaning?

3) Which of the following management practices do you use to **prevent** pests in the storage locations listed in B1 above? *Must use at least one.*

☐ Remove pest habitat, food sources, and breeding areas ☐ Prevent access to the storage location
☐ Manage environmental factors to prevent pest reproduction (temperature, light, humidity, atmosphere, air circulation)
☐ Other (describe):

4) Which of the following practices do you use to **control** pests in the storage locations listed in B1?

☐ N/A, prevention practices are effective and additional controls are not needed at this time.
☐ Mechanical or physical controls, including traps, light, or sound.
☐ Lures and repellents using non-synthetic or synthetic substances consistent with the National List of synthetic substances allowed for use in organic crop production. List lures and repellents used in OCal storage areas on your **OCal Cultivator Materials Application (OSP Materials List)**.

5) Are the measures listed above sufficient to prevent or control pests?

☐ N/A, none used ☐ Yes
☐ No. List all non-synthetic pest control materials and/or synthetic materials **from the National List** that you apply in OCal storage locations on your **OCal Cultivator Materials Application (OSP Materials List)**.

Prevention and control methods described above must be implemented before National List materials may be used. National List materials include carbon dioxide, nitrogen gas, Vitamin D3 bait, boric acid, diatomaceous earth and soap products.

6) Are the National List materials on your OSP Materials List sufficient to prevent or control pests?

☐ N/A, none used ☐ Yes ☐ No

a) If no, explain below or attach justification (e.g. letter from pest control service):

List pest control materials **not on the National List** that you apply in OCal storage locations on your **OCal Cultivator Materials Application (OSP Materials List)**.

7) How do you prevent pest control materials from contaminating OCal crops and packaging materials?

☐ N/A, none used ☐ Remove crops and packaging from areas to be treated
☐ Wash and rinse OCal contact surfaces after treatment
☐ Cover equipment used for OCal storage during treatment
☐ Other (describe):

8) Where do you record pest control material use and measures taken to protect OCal crops or packaging?

☐ N/A, none used ☐ Pesticide Use Log ☐ Log describing removal/reentry of crops and packaging
☐ Other (describe):

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- **Complete this form ONLY if you are an all-OCal operation performing simple post-harvest handling activities on-farm for only your own OCal product.** Simple activities include drying, curing, grading, trimming, rolling, packaging, re-packaging, labeling, or re-labeling cannabis or nonmanufactured cannabis products. Nonmanufactured cannabis product is defined as flower, shake, leaf, pre-rolls, and kief that is obtained from accumulation in containers or sifted from loose, dry cannabis flower or leaf with a mesh screen or sieve.
- This form **does not apply** if you are handling both OCal and non-OCal cannabis and/or nonmanufactured cannabis products, are solely a distributor of such products, or are performing complex handling of manufactured cannabis products such as compounding, blending, extracting, infusing, or otherwise making or preparing a cannabis product and/or multi-ingredient cannabis product. Please refer to the **Guide to OCal Handler OSP Forms** for other handling or distribution services.

A. General information

1) Site Name & Location (full address):

2) List, or attach a list, of all OCal nonmanufactured cannabis products handled here.

3) Attach a complete written description or a schematic flow chart of where and how the product is received, processed, packaged, and stored. Identify ALL equipment, processes, pest control practices (including preventative practices, and mechanical/physical controls), and storage areas. If the descriptions provided are not complete, or show that you are performing complex processing, you may be required to complete a Handler OCal OSP.
   ☐ Complete description attached

4) List any materials used for facility pest control at this post harvest handling location on your **OCal Cultivator Materials Application (OSP Materials List).** ☐ None used

B. Sanitation and Water Use

1) Do you use any equipment sanitizers, or any **no-rinse** equipment cleaners/detergents, on OCal cannabis contact surfaces?
   ☐ Neither one is used. Skip to question B2.
   ☐ Yes. List materials on your **OCal Cultivator Materials Application (OSP Materials List)**. Complete this section.
   a) Describe how the equipment is cleaned and/or sanitized.

   b) How do you ensure that Ocal cannabis contact surfaces are free of residuals (ex. quaternary ammonia)?
      ☐ Chlorine materials and/or sanitizers allowed to evaporate completely
      ☐ Thorough rinses, including a double rinse procedure
      ☐ Residue testing (indicate type): ☐ pH ☐ Quaternary ammonia ☐ Other: _____
      ☐ Other (describe): _____

2) Is water used in direct contact with OCal products (ex. wash water)?
   ☐ No. Skip to section C. ☐ Yes. Complete this section.
   a) Do you add any substances to water that contacts OCal products (ex. peracetic acid, hydrogen peroxide, chlorine, etc.)?
      ☐ No. Skip to section C ☐ Yes. List on your **OCal Cultivator Materials Application (OSP Materials List)**. Complete this section.
   b) If you add chlorine to water that directly contacts OCal products, indicate how you meet the following restriction:
      Residual chlorine levels in water at last point of contact must not exceed the maximum residual disinfectant limit under the Safe Drinking Water Act (SDWA).
      ☐ Not applicable, no chlorine added ☐ Final rinse with water only ☐ Final rinse with chlorine at or below SDWA limit

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C. Packaging

1) Are all packaging materials, including reused packaging, free of prohibited materials (ex: fungicides, preservatives, fumigants)? Contact packaging manufacturer if you are unsure.

☐ Yes ☐ Not applicable, no packaging

D. Pre-rolls

If your pre-rolls contain flower and kief, complete the **OCal H2.0B Product Formulation** to provide ingredient and content information. If your pre-rolls contains non-cannabis ingredients (i.e. cannabis flower blended with organic botanicals), you are required to hold OCal Manufacturing certification to make an OCal claim on your product. Please refer to the **Guide to OCal Handler OSP Forms** for other handling or distribution services.

☐ I have completed the OCal H2.0B Product Formulation. ☐ Not applicable, my operation does not produce pre-rolls or my pre-rolls contain OCal flower only.

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Operation Name: _____ **Date:** _____

The CDFA OCal seal and/or or the CCOF name/CCOF OCal logo are optional for use on all types of labels. If the CDFA OCal seal is used, it must be printed in allowed color schemes only, and its design may not be modified. If both seals are used, the CDFA OCal seal must be displayed more prominently than the CCOF seal.

► All labels or container markings must be approved by CCOF prior to use.

► Attach samples of all labels for your own OCal products and any OCal products you sell that are sourced from other operations.

A. Nonretail (Shipping or Storage) Containers

Nonretail containers are those used to ship or store nonmanufactured OCal cannabis products. Nonmanufactured cannabis products are defined as flower, shake, leaf, pre-rolls, and kief that are obtained from accumulation in containers or sifted from loose, dry cannabis flower or leaf with a mesh screen or sieve. Nonretail containers shall display obviously visible OCal identifiers on the outside of the container.

1) Do you use any nonretail containers (e.g. boxes, bins, totes, bags, etc.) for shipping or storage of nonmanufactured OCal cannabis products?

☐ Not applicable, none used. Skip to section B. ☐ Yes. Complete this section.

2) Mark all of the following nonretail containers that you use for shipping or storage of nonmanufactured OCal cannabis products:

☐ Box ☐ Bin ☐ Tote ☐ Bag ☐ Other (describe): _____

3) How do you mark nonretail containers to identify the OCal status of the product? Markings may be on labels or on accompanying documentation (e.g. bills of lading, bin tags, etc).

☐ 'OCal' ☐ CCOF OCal seal ☐ CDFA OCal seal

☐ Other (describe): _____

4) Do you use lot numbers to trace harvested cannabis back to your operation?

☐ No

☐ Yes. Describe lot numbering system in **OCal C8.0 Record Keeping**.

B. Retail Labels (Packaged or Unpackaged)

1) Do you use any retail labels for **packaged** nonmanufactured OCal cannabis products?

☐ Not applicable, none used. ☐ Yes.

*Packaged retail product labels must state **"Certified OCal by CCOF"** (or similar) **below** the name and contact information for the final operation producing or handling the product. Nonmanufactured OCal cannabis also must be identified in the ingredient statement with the word, "OCal," or with an asterisk or other reference mark which is defined below the ingredient statement to indicate that the cannabis has been OCal produced.*

2) Do you use any retail labels for immature cannabis plants or seed?

☐ Not applicable, none used. ☐ Yes.

C. Labels & Brands

1) Attach color samples (e.g. photographs, print proofs; no larger than standard page size) of **all** of the following:

- Nonretail container labels and/or markings or stickers used on nonretail containers.
- Packaged product labels for retail sale
- Unpackage product labels for retail sale (i.e., immature cannabis plant tags)

☐ Color samples of all labels are attached. *Submit all revisions to CCOF prior to printing.*

2) Are any of the labels/brands owned by other companies?

☐ No, only my operation's own labels/brands. Stop, this form is complete. ☐ Yes. Complete this section.

3) Is the label owner certified OCal for the product(s)?

☐ Yes, CCOF certified. ☐ Yes, certified by another certification agency (attach certificate). ☐ No

4) Do any of the labels use the CCOF name or seal without identifying your operation as the cultivator of the product ("private labels")?

☐ No ☐ Yes. Complete and attach the **OCal Co-Packer Application**.

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Operation Name: _____ **Date:** _____

Certified operations shall maintain records concerning the production, harvesting, and handling of cannabis and nonmanufactured cannabis products. The records shall fully disclose all activities and transactions in enough detail to be readily understood and audited. Records shall be sufficient to demonstrate compliance with OCal regulations, kept for at least five (5) years, and made available for inspection.

A. Records Kept

1) Based on the activities described in your OCal System Plan (OSP), does your operation:
   - Maintain all records needed to verify compliance, and
   - Keep these records for at least 5 years, and
   - Make them available during inspections

   ☐ Yes

2) Per 3 CCR §10105 (b), I attest that all fertilizers requested for approval are registered with the state of California pursuant to 3 CCR § 2320.3, which states that fertilizing materials making claims of compliance to the NOP standards or claims for use in organic crop and food production shall be registered as an organic input material with the California Department of Food and Agriculture.

   ☐ Yes

   Records needed to verify compliance include, but are not limited to, the following:

If you do this:	Maintain this type of record:
Grow cannabis	• Planting records (crop, location, date, acreage, etc.) • Records of crop rotation or practices in lieu of rotation (container production) • Documentation of natural resource and biodiversity conservation practices & monitoring • Documentation of preventative pest management practices • Production equipment also used for non-OCal/non-organic: cleaning records or Standard Operating Procedures (SOPs)
Use seed or planting stock	• Purchase receipts or other records documenting source, treated/untreated status and , non-GMO verification • Commercial availability records • OCal certificates or on-farm production records
Use crop input materials (fertilizers, pesticides, etc.)	• Purchase receipts or other records documenting source • Application records (material name, date, rate, location) • Compost/compost tea/vermicompost produced by your operation: production records
Have OCal parcels with adjacent non-organic/non-OCal production	• Documentation of preventative measures to reduce drift risk • If growing cannabis in buffer zones: harvest and sales documentation verifying separation
Responsible for harvest and/or transport	• Harvest/transport equipment also used for non-OCal: cleaning records or SOPs • Harvest records or field tags (date, crop, quantity, location) • Transport or shipping records, if applicable
Store crops	• Storage records • Storage at your own facility: facility pest management records
Simple post-harvest handling	• Records of handling activities, including facility pest management
Use another operation's facility for post-harvest handling	• Documentation of transactions (e.g. receiving, sales)
Source OCal cannabis products from another operation	• Transaction and shipping/receiving records • OCal certificates for suppliers
Sell OCal cannabis products (even if not represented as OCal)	• Shipping or sales records (e.g. BOLs, delivery receipts, receiving documents, grower statements, etc.) • Identify within the Department of Cannabis Control Track-and-Trace system, in a manner specified by the DCC regulations

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B. Sample Audit Trail

1) Attach a sample audit trail to demonstrate your recordkeeping system from harvest through sale.

*An audit trail is a set of documents that tracks all activities occurring from **harvest** through **sale** of an OCal product and is sufficient to trace OCal cannabis and nonmanufactured cannabis products back to their production (in the field, or supplier). A complete sample audit trail may include, but is not limited to, the harvest, shipping, and sales records described above in section A.*

*If you are new to OCal production and do not have existing OCal audit trail records, you **must** provide an **example** of the audit trail you plan to maintain. Sample recordkeeping forms can be found at www.ccof.org/recordkeeping.*

☐ Sample audit trail attached

2) How do your audit trail records link to track OCal cannabis from the source (field/growing location, or supplier) to the final sale? Mark all that apply.

☐ Field numbers or names ☐ Farm name, if the operation is all OCal with only one field ☐ Julian date codes

☐ Lot numbers (describe lot numbering system): _____

☐ Other (describe): _____

C. Split Operation Record Keeping

***A split operation** is an operation that produces or handles **both OCal and non-OCal cannabis/nonmanufactured cannabis products**.*

1) Mark all of the following that apply to your operation:

☐ Grow both OCal and non-OCal cannabis

☐ Sell OCal and non-OCal nonmanufactured cannabis products, including any that you source from other operations

☐ Sell **identical** OCal and non-OCal nonmanufactured cannabis products, including any that you source from other operations

☐ None of the above. Stop, this form is complete.

2) How do your input records distinguish between materials used on OCal and non-OCal/nonorganic crops?

3) How do your harvest, shipping, and sales records distinguish between OCal and non-OCal cannabis/nonmanufactured cannabis products?

4) Do you source OCal nonmanufactured cannabis products from uncertified brokers, traders, wholesalers, or distributors?

Sourcing from uncertified handlers requires additional audit trail verification at inspection and will incur additional fees.

☐ No. Stop, this form is complete.

☐ Yes, attach an **OCal Uncertified Handler Affidavit** (OCal UHA) for each uncertified supplier refer to the **Guide to OCal Handler OSP Forms** to complete applicable form for other handling or distribution services.

a) How do you ensure that only certified suppliers are used by the uncertified handler? Check all that apply.

Your OSP must list all certified suppliers, including products sourced through uncertified handlers. Audit trail records must link directly back to the last certified operation.

☐ Do not place order until certified supplier is identified by uncertified handler and approved by CCOF

☐ For any delivery that cannot be traced back to the certified supplier, refuse or hold shipment until the certified supplier is verified.

☐ Other (describe): _____

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